

# Animating the narrow syntax

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# Goals

- **Empirical claim**
  - *Animacy* may or may not be part of the grammatical system of a language
- **Theoretical problem**
  - What does it mean for animacy to be part of the grammatical system?
  - And why isn't this universal?

# Overview

1. **Effects of animacy** in natural language
  - i) ontological underpinnings
  - ii) when grammar rules
2. **Background** on narrow syntax and the universal spine
3. **Macro-variation** in grammatical animacy marking:  
to have or not to have animacy
4. **Micro-variation** in grammatical animacy marking:  
the locus of animacy

# The cognitive importance of animacy

It is also the case that infants distinguish between inanimate objects and animates, namely humans, in important ways. For example, they recognize that humans are self-propelled while inanimate objects move only after contact with another object.

Kuhlmeier, Bloom & Wynn 2004: 95

...human thought is organized as a system. I explore the hypothesis that the cause of this difference is a grammatical way of structuring semantic information, and I present evidence that **the organization of grammar precisely reflects the organization of a specific mode of thought** apparently distinctive of humans.

Hinzen 2013: 1

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1. **Effects of animacy** in natural language
  - i) when world knowledge matters
  - ii) when grammar rules
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# Effects of animacy

Animacy, or the distinction between animate and inanimate entities, is so pervasive in the grammars of human languages that it tends to be taken for granted and become invisible.

... studies of animacy have [...] concentrated on what has been called **the animacy hierarchy** [...] **HUMAN>ANIMAL>INANIMATE**.

Hierarchies of this kind have been assumed by typologists to underlie various implicational universals.

# Effects of animacy

	Animate	Inanimate
AGENT, EXPERIENCER	✓	✗

- (1) a. *The boy was scared.*  
b. #*The tree was scared.*

# Effects of animacy

	Animate	Inanimate
INALIENABLE POSSESSION	✓	✓

(1) *John has a broken arm*

(2) *The oak tree has many branches*



# Effects of animacy

	Animate	Inanimate
INALIENABLE POSSESSION	✓	✓
ALIENABLE POSSESSION	✓	✗

(1) *John has a bird.*

(2) #*The oak tree has a family of birds*

(3) *The oak tree has a family of birds in it*



# Effects of animacy

Animacy **hierarchy** effects:

**differential object marking**

Case-marking	Human	Animate	Inanimate
Vietnamese	X	X	X
Spanish	✓	X	X
Russian	✓	✓	X
Hungarian	✓	✓	✓

From Haspelmath 2008

If any P is overtly case-marked, then all P's that are higher on the animacy scale, the definiteness scale, or the person scale are marked at least to the same extent. Silverstein 1976

# Effects of animacy

## (1) Spanish

a. *El director busca el carro.*

‘The director is looking for the car’

b. *El director busca el perro*

‘The director is looking for the dog.’

c. *El director busca **a** su hijo*

‘The director is looking for his son.’

Haspelmath 2008

# When world-knowledge matters

- (1) *Llamó a la muerte*  
call-PAST.3.s P the death  
'S/he called out to death.'



- (2) *Llamó la muerte.*  
call-3.s the death  
'S/he called out to death.'

Hanssen 1945: p296 (via Karen Zagona, p.c.)

# When world-knowledge matters

(1) *This tree is scared.*



# When world-knowledge matters

(1) *This tree has a family of birds.*



# When world-knowledge matters



Animacy is an **ontological type** (not a grammatical category)  
Ramchand (2008), Folli & Harley (2008), Dahl (2008)



# When world-knowledge matters



... what has been referred to in the literature as the animacy hierarchy is essentially a reflection of different ways of realizing grammatically **a fuzzy dichotomy**, at the base of which is the distinction between persons, that is, essentially human beings perceived as agents, and the rest of the universe. **The dichotomy is fuzzy because we have the possibility of sometimes treating inanimate entities as persons and, perhaps less often, human beings as non-persons, in one sense or the other.**

Dahl 1999: 99





**KEEP  
CALM  
AND  
ENTER  
GRAMMAR**

# Overview

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variation in the locus of animacy

# Essential characteristics of a grammatical category

‘a **partitioning** of a syntactic category into subclasses that are marked (at least partially) morphologically and that are relevant to syntax, most notably by being involved in agreement operations’

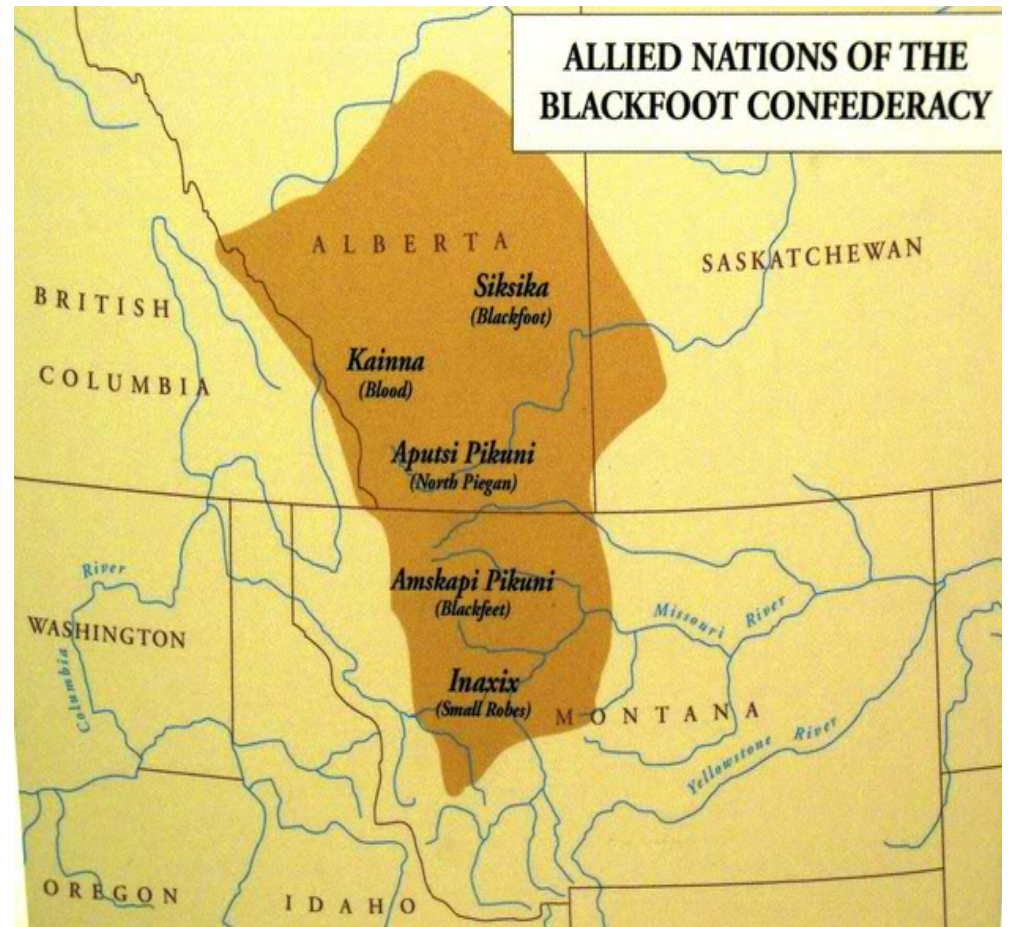
Danon 2010: 149

The partitioning is **categorical**

any given noun is categorized as either animate or inanimate

The partitioning is **sensitive to AGREE and SELECT**

# Blackfoot



# Effects of animacy in Blackfoot

## Obligatory categorical partitioning

aakíí	nan	Woman
aakíí.	nan	woman/queen (card); <b>aakííksi</b> women; see <i>kipitáaakii</i> old woman;
aakiika'ksímii	nin	fringed sage, * <i>Artemisia frigida</i> ; <b>aakíika'ksimiiyistsi</b> fringed sages; also <b>aakiika'ksimo</b> ; cf. <i>aakii+ka'ksimo</i>
aakííkoan	nan	Girl
aakííkoan.	nan	girl; <b>i'nákaakííkoaksi</b> little girls; <b>nitáákiikoama</b> my girlfriend.
aakíípasskaan	nin	women's dance; <b>aakíípasskaanistsi</b> women's dances; also <i>paisskaan</i> ; see also <i>o'taksipasskaan</i> ; cf. <i>aakii+ipasskaan</i> .
ááksi'ksaahko	nin	bank, embankment, cliff; <b>sspáksi'ksááhkoistsi</b> high cliffs/ cut banks.
aamio'kakiikinaatts i	nin	muskrat root (bitter root), * <i>Acorus calamus</i> ; <b>áámio'kakiikinaattsiiistsi</b> muskrat roots; also <i>omio'kakiikinaattsii</i> ; cf. <i>mamii+o'kakiikin+inaattsii</i> .
aamsskáápipikani	nan	South Peigan (band of the Blackfoot tribe); <b>Amsskáápipikaniikoaksi</b> Southern Peigan persons; cf. <i>waamsskaap+piikani</i>

# When grammar rules

Grammatically animate nouns for ontologically inanimate things

Meaning	[Animate] noun
'pipe'	<i>kippiaapi</i>
'blood clot'	<i>katoyis</i>
'stove'	<i>po'táa'tsis</i>
'finger'	<i>mookítsis</i>
'blanket'	<i>si'káán</i>
'wagon'	<i>áínaka'si</i>

# Effects of Animacy in Blackfoot

## AGREE

- (1) a. *Oma*            *sááhkomaapiwa*            *íiksspitaawa.*  
om-wa            saahkomaapi-wa            iik-sspitaa-wa  
DEM-PROX   boy-PROX            INTNS-be.tall.AI-PROX  
‘That boy is tall.’
- b. *Omiksi*            *sááhkomaapiks*            *íiksspitaawa.*  
om-**iksi**            saahkomaapi-**iksi**            iik-sspitaa-wa  
DEM-PL            boy-PL            INTNS-be.tall.AI-PL-3PL.PRN  
‘Those boys are tall.’

# Effects of Animacy in Blackfoot

## AGREE

- (1) a. *Omi*            *náápioyisi*            *íiksspiwa*  
om-yi            naapioyis-yi            iik-sspii-wa  
DEM-INAN    house-INAN            INTNS-be.tall.AI-PROX  
‘That house is tall.’
- b. *Omistsi*            *náápioyisists*            *íiksspiyaawa*  
om-**istsi**            naapioyis-**istsi**            iik-sspii-yi-aawa  
DEM-PL            house-PL            INTNS-be.tall.AI-PL-3PL.PRN  
‘Those houses are tall.’



# When grammar rules



- (1) *ámostsi*                      *pisátssaisskiistsi*                      *iiki'taamssiyaawa*  
amo-**(i)stsi**                      pisatssaisski-**istsi**                      iik-i'taam-ssi-y(i)-(y)aawa  
this-**IN.PL**                      flower(in)-**IN.PL**                      very-happy-be.AI-pl-pron  
'These (inanimate) flowers are happy.'

# Even more animacy effects in Blackfoot

## Verbal classification

ihtsiyimm	vta	bring to town. admire; <b>ihtsíyimmisa!</b> admire her!; <b>áakihtsiyimmiiwa</b> she will admire him; <b>iihtsíyimmiiwa</b> she admired her; <b>nítsstsíyimmoka</b> she admired me; <b>nitáihstsiyimmoka</b> she admires me.
ihtsiyi'tsi	vti	admire, like; <b>ihtsíyi'tsit!</b> admire it (e.g. the chair)!; <b>áakihtsiyi'tsima omi náápiooyisi</b> she will admire (the appearance of that house; <b>iihtsíyi'tsima</b> he liked it (e.g. the name he was given); <b>nítsstsiyi'tsii'pa kisóka'sima</b> I admired your dress; Rel. stem: vai <i>ihtsiyi'taki</i> admire.
ihtsikssi	vai	be sleepy; ( <i>ihtsíksit!</i> be sleepy!); <b>áakihtsikssiwa</b> she will be ...; <b>iihtsikssiwa</b> he is sleepy; <b>nitáihstsikssi</b> I am sleepy; <b>(ki)kátai'ihtsikspa?</b> Are you sleepy?; <b>nítsstsikssi</b> I'm sleepy; <b>nítsíkihtsikssi</b> I'm very sleepy.
á'pai'piksi	vti	arrange; <b>a'pái'piksit!</b> re-arrange it!; <b>áaká'pai'piksima</b> she will arrange it; a'pái'piksima <b>anni sóópa'tsisi</b> he arranged the chair; <b>nitá'pai'piksii'pa</b> I arranged it; <b>á'pawai'piksima anniistsi sóópa'tsiistsi</b> he is arranging the chairs.
a'paisii	vii	it passes (said of time); <b>áaka'paisiwa</b> time will pass; <b>a'páisiwa</b> time passed; <b>stáma'paisiwa</b> and time passed.
a'paisskin	vta	touch/manipulate the face of; <b>a'paisskinisa!</b> touch her face!; <b>áaka'paisskiniwa</b> you will touch her face; <b>á'paisskiniwa</b> he touched her whole face; <b>nitá'paisskinoka</b> she is manipulating my face; cf. <i>sski</i> .

# Summary

- Empirical claim:
  - *Animacy* may or may not be part of the grammatical system of a language

	Ontological type	Grammatical category
English	✓	✗
Blackfoot	✓	✓

# Goals

- **Empirical claim**
  - *Animacy* may or may not be part of the grammatical system of a language
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  - And why isn't this universal?

# Proposal



*Animacy* may enter the narrow syntax

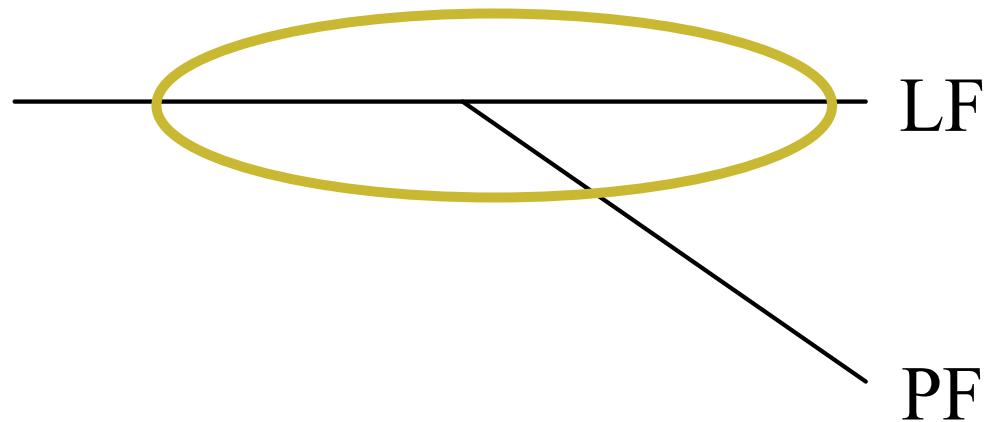
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# What is *narrow syntax*?

...technically, the **mental-computational path** leading from a selection of a number of lexical items stored in long-term memory to a syntactic representation of “logical form” (LF) at the syntax-semantics interface (see Chomsky, 1995)

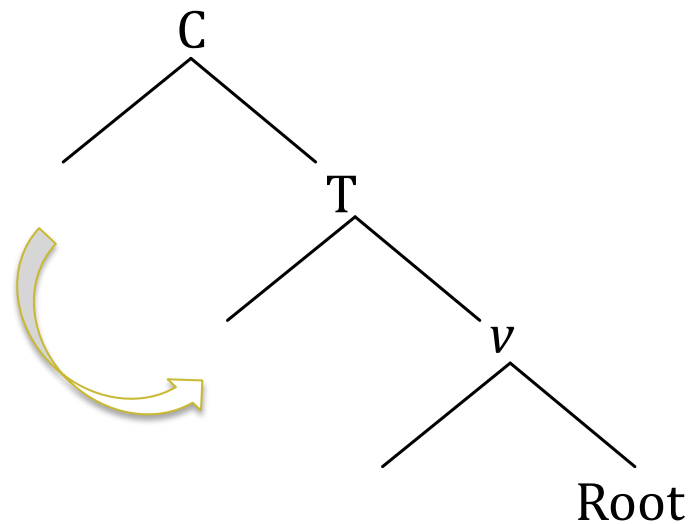
Hinzen 2013: 2



# What is *narrow syntax*?

... **structure building** operation (MERGE)

... **relation-building** operations (SELECT, AGREE)





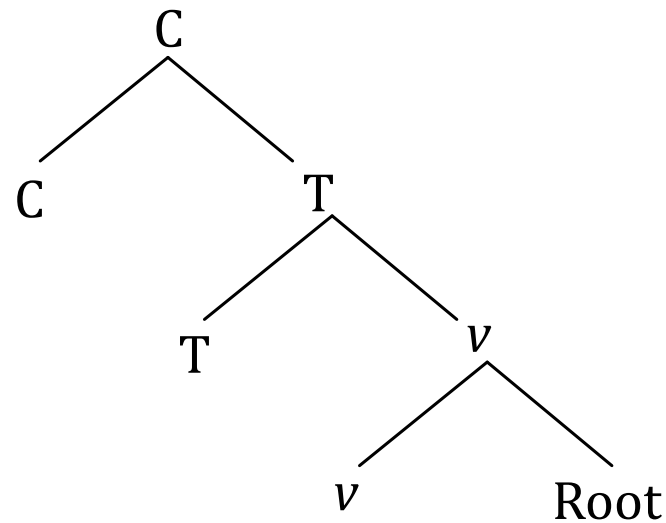
# What is *narrow syntax*?

... invariant

## The universal base hypothesis

The deep structures of all languages are identical, up to the ordering of constituents...

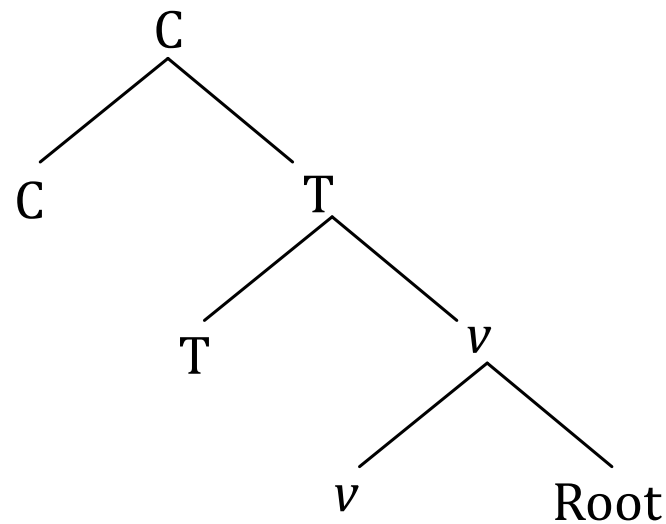
Ross 1970[1968]:260

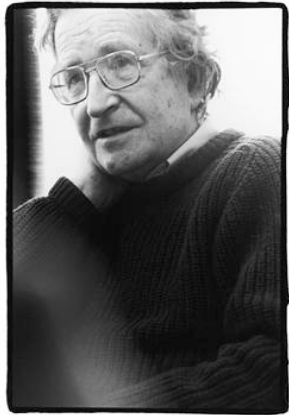


# The problem

What does UG look like if languages can differ according to whether animacy is grammaticized?

How do you have a fixed structure but variable content





JOHN SOARES

# The problem



## Universals and variation

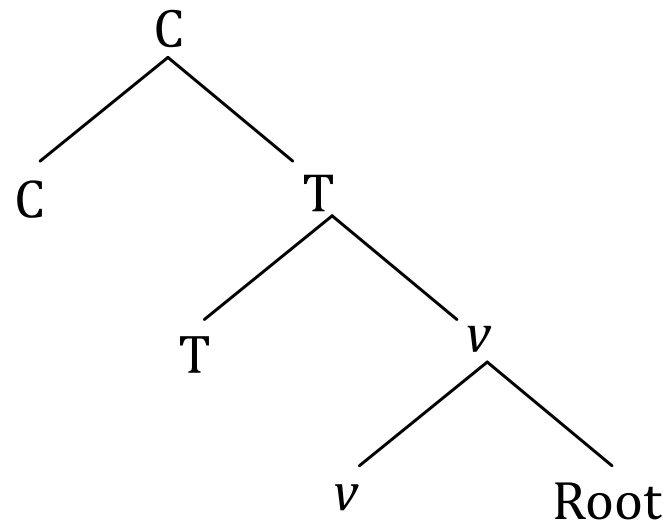
- i. There is evidence for the universality of categories
- ii. Languages vary in their categorial inventories

	Ontological type	Grammatical category
English	✓	✗
Blackfoot	✓	✓

# The problem

## The problematic assumption:

The set of universal categories is a repository of grammatical categories

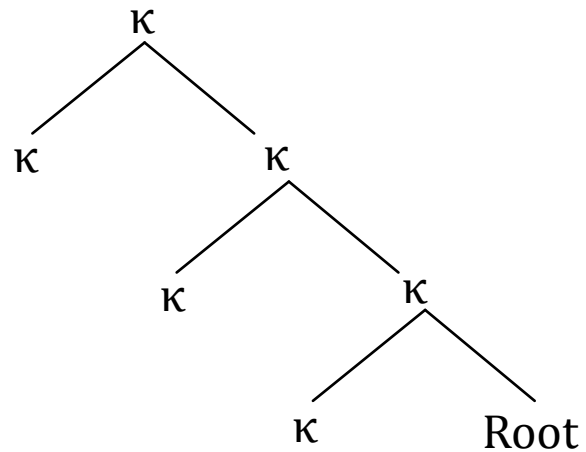


# Towards a solution

## Getting rid of labels??

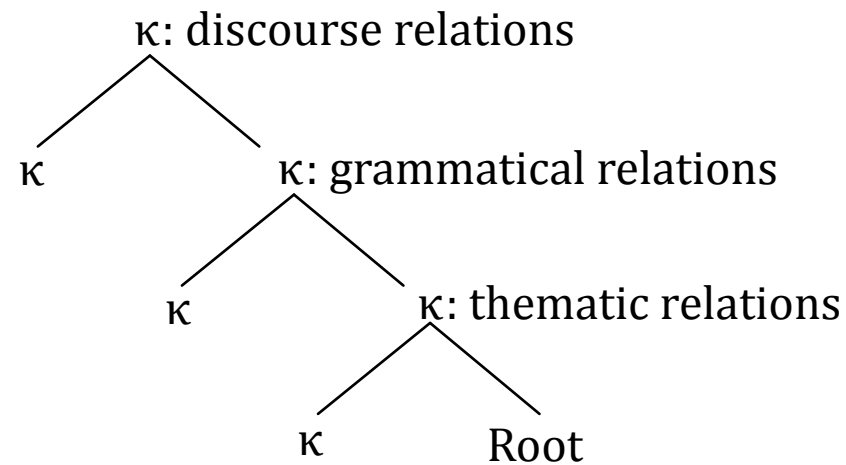
No pre-fabricated labels for categories

(Chomsky 1995; Collins 2002)



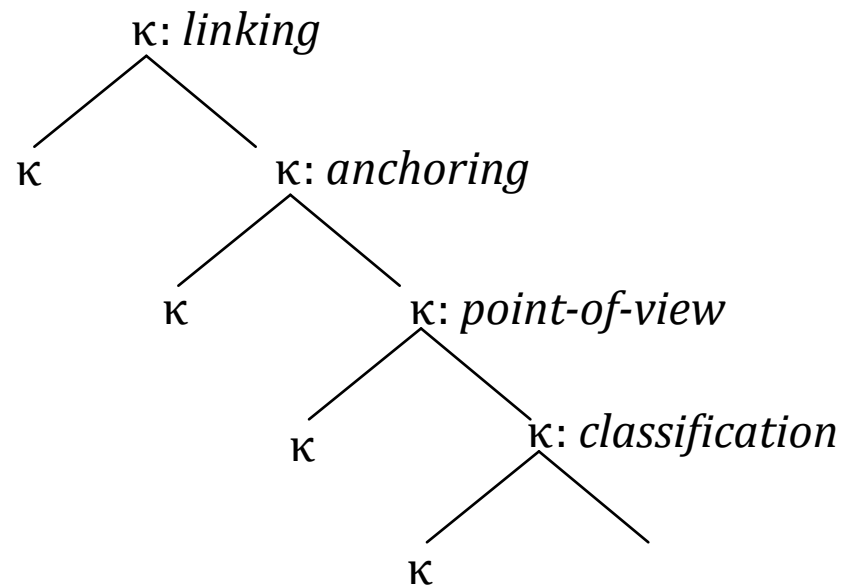
# Towards a solution

## A universal hierarchy of relations

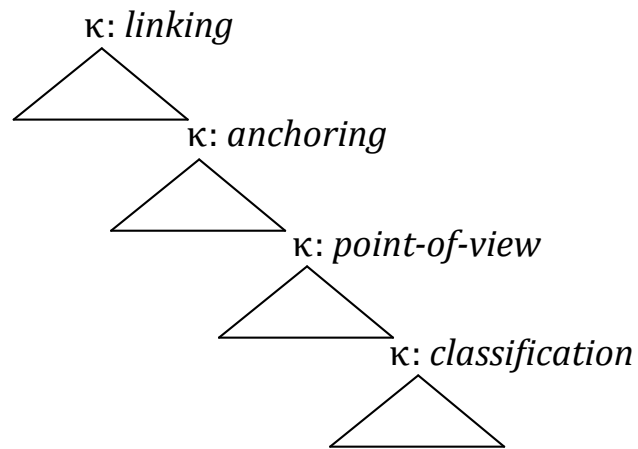


# The universal spine

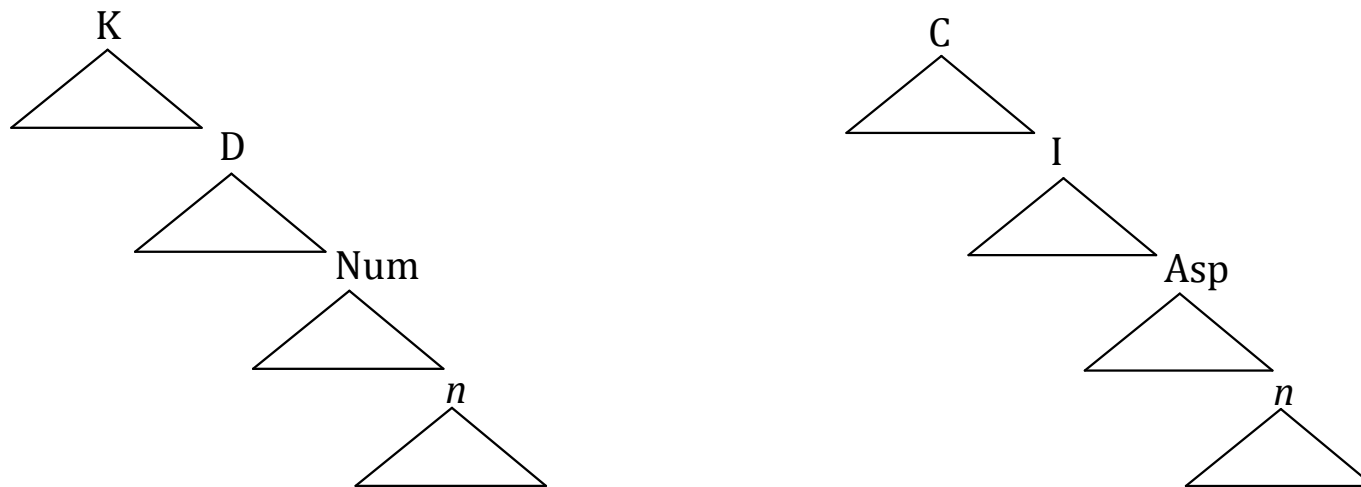
A universal hierarchy of abstract functions



# The universal spine



## Nominal and verbal instantiations

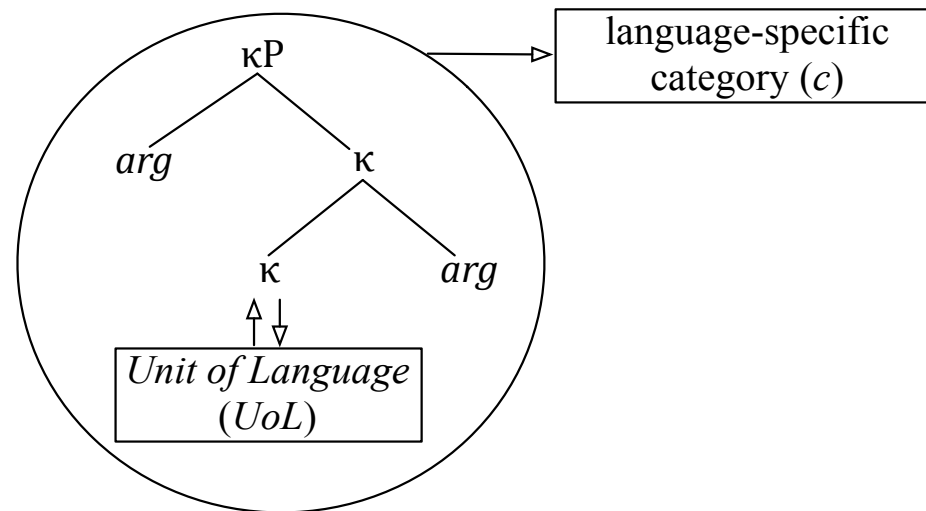




# Variation in categorial inventories

Language specific categories are constructed

$$c = \kappa + \text{UoL}$$



The content of language specific categories may vary.

# Goals

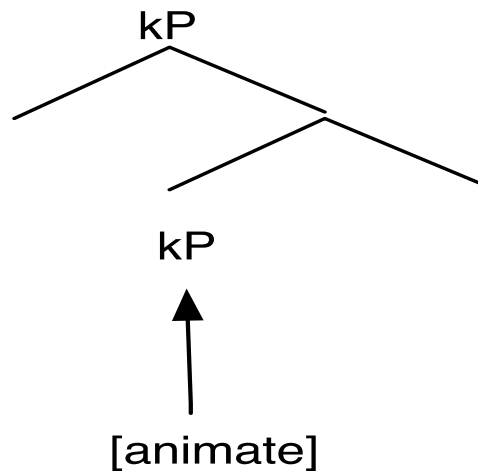
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# The category of animacy

- Which category of Bf (but not English, Spanish, etc.) is constructed by association with formal content representing animacy?



# A footnote on notation

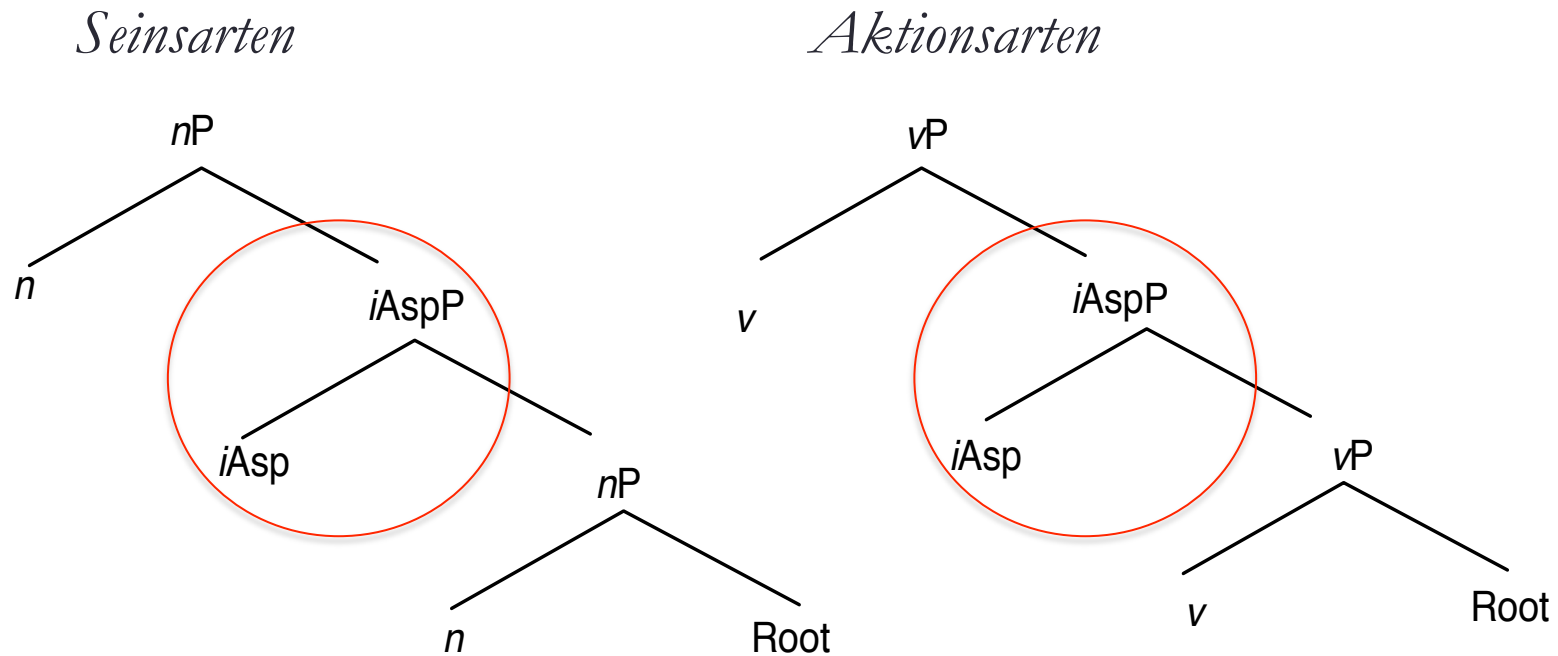
Animate  
[animate]

vs.

Inanimate  
(*non-animate*)

# The category of animacy

- Inner Aspect (iAsp) – lexical aspectual classification

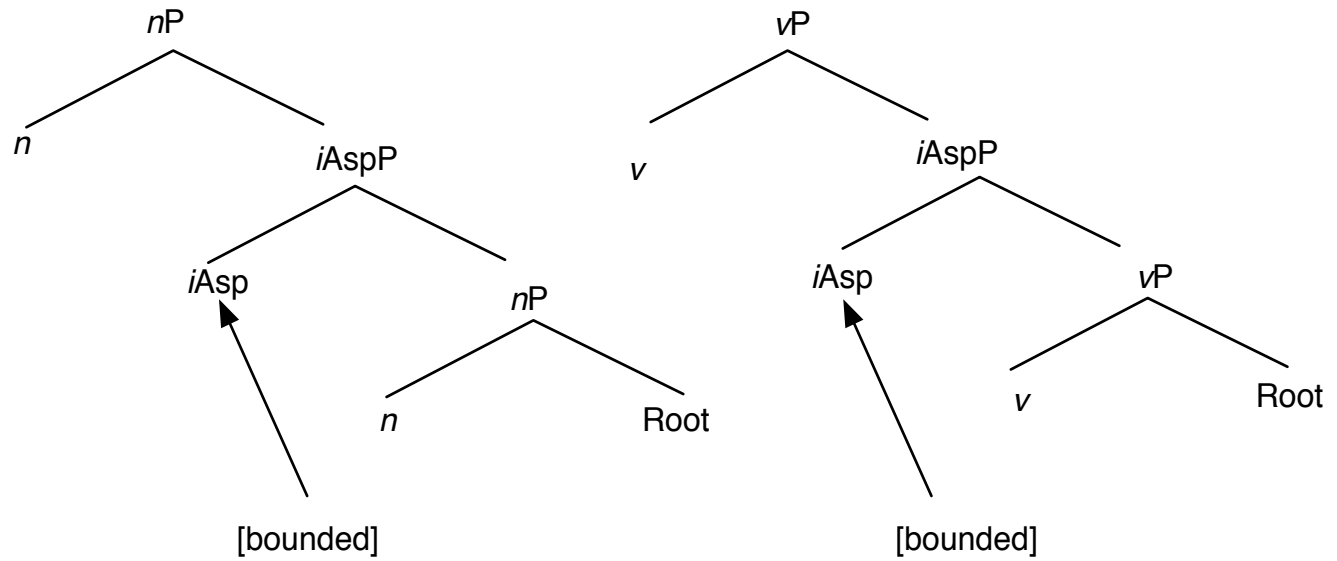


# *i*Asp in English

[bounded]  
(*non-bounded*)

*Seinsart*  
count  
mass

*Aktionsart*  
telic  
atelic



# Prediction - Complementarity

if *iAsp* is associated with [animate], it is not also associated with [bounded]:

NO evidence of [bounded] in nominal *iAsp*:

→ NO grammaticalized count-mass distinction

NO evidence of [bounded] in verbal *iAsp*:

→ NO grammaticalized telic-atelic distinction



# No grammaticalized count-mass distinction in Bf

- Wiltschko (2009, 2012): Blackfoot lacks a grammaticalized count-mass distinction:
  - *all* nouns can be pluralized, including nouns that refer to substances
  - determiners are not sensitive to distinction between count & mass nouns
  - no strategies for reclassifying mass nouns (e.g. two *drops* of blood)
  - availability of bare NP arguments is not correlated with count-mass distinction

# No grammaticalized telic-atelic distinction in Bf

- English: transitivity alternations → alternations in telicity

- (1) a. She ate the fish in an hour/\*for an hour.  
b. She ate (fish) for an hour/\*in an hour.

- Blackfoot: verbs are overtly marked for (in)transitivity

(2) na-oo-**wat**-yii-wa      amo      mamii      `      transitive  
PST-eat-**TA**-DIR-3SG      DEM      fish.AN  
'S/he ate this fish.'

(3) na-oo-**yi**-wa      (mamii)      "intransitive"  
PST-eat-**AI**-3SG(fish.AN)  
'S/he ate (fish).'

...but this is not correlated with telicity

# No grammaticalized telic-atelic distinction in Bf

- Ritter & Rosen (2010): telicity tests indicate no difference between verb classes:

	Transitive TA/TI	Intransitive AI/AI+O
aspectual verb 'finish'	✓	✓
durative adv 'in X time'	✓	✓
time frame adv 'for X time'	✓	✓
imperfective paradox	✓	✓

- alternation between transitive (TA/TI) and intransitive (AI/AI+O) verbs does not signal a shift between telic and atelic predicates

# Prediction - Lexical Aspectual Classes

If *iAsp* is associated with [animate], then all lexical aspectual classification is **animacy based** - *Aktionsarten* as well as *Seinsarten*:

# Participant-based *Aktionsarten* in Bf

- Algonquian morphological verb classes (Bloomfield 1946) are *Aktionsarten* (Louie 2008)

Verb Class	Transitivity	Animacy
Transitive Animate (TA)	yes	animate
Transitive Inanimate (TI)	yes	inanimate
Intransitive Animate (AI)	no	animate
Intransitive Inanimate (II)	no	inanimate

# Temporal-based *Aktionsarten*

- Vendler verb classes defined by temporal properties of the predicate:

Verb Class	Process	Boundedness
Accomplishments	yes	bounded
Activities	yes	unbounded
Achievements	no	bounded
States	no	unbounded

↑                      ↑  
dimensionality      delimiting argument

# Participant-based *Aktionsarten* in Bf

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Intransitive Inanimate (II)	no	inanimate

↑                      ↑  
dimensionality      delimiting argument

# Dimensionality

- **Temporal:** Does the event occur over a period of time?  
English test: progressive (= Vwpt Aspect)

- (1) a. John is walking/building a house.  
b. #John is liking the show/realizing his mistake.

- Participant:** Does the event have more than one DP argument?  
Blackfoot test: direct/inverse (= Vwpt Aspect)

- (2) na-oo-wat-yii-wa amo mamii (3) na-oo-yi-wa  
PST-eat-TA-DIR-3SG DEM fish.AN PST-eat-AI-3SG  
'S/he ate this fish.' 'S/he ate (sthg).'



# Delimiting arguments

**Temporal:** Is there an argument that marks the temporal endpoint of a [bounded] event?

English: various strategies to derive [bounded] accomplishments from activities ... all require the addition of a [bounded] object.

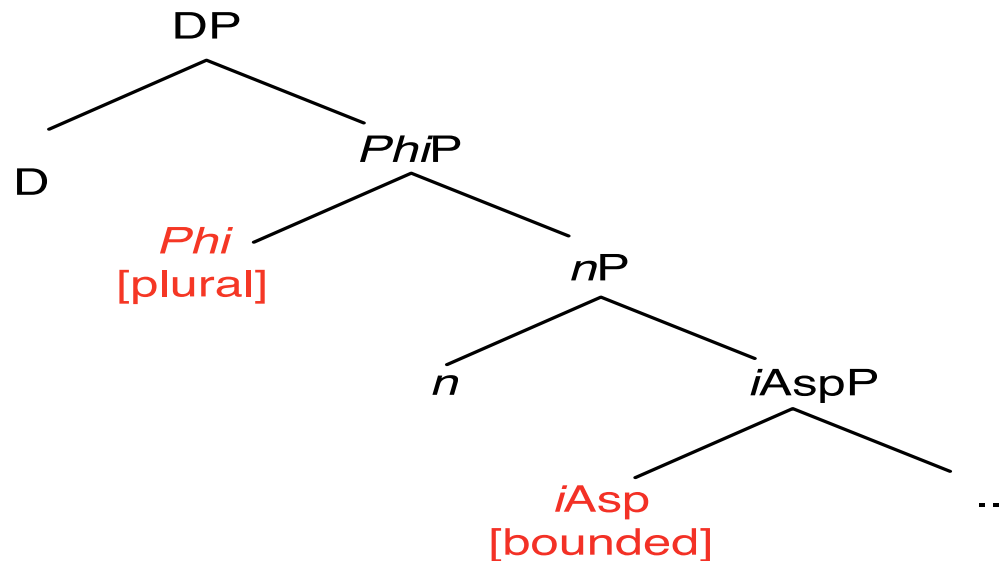
- (1) a. Terry thought for an hour/\*in an hour.
- b. Terry thought **up an answer** in an hour/\*for an hour.
  
- (2) a. Terry sang for an hour/\*in an hour.
- b. Terry sang **a ballad** in an hour/\*for an hour.



# [animate] vs [bounded] – Consequences

- dependency between feature of *iAsp* and higher functional categories:

	<i>iAsp</i>	Phi
count nouns	[bounded]	[plural] or ( <i>non-plural</i> )
mass nouns	( <i>non-bounded</i> )	default ( <i>non-plural</i> )



# [animate] vs [bounded] – Consequences

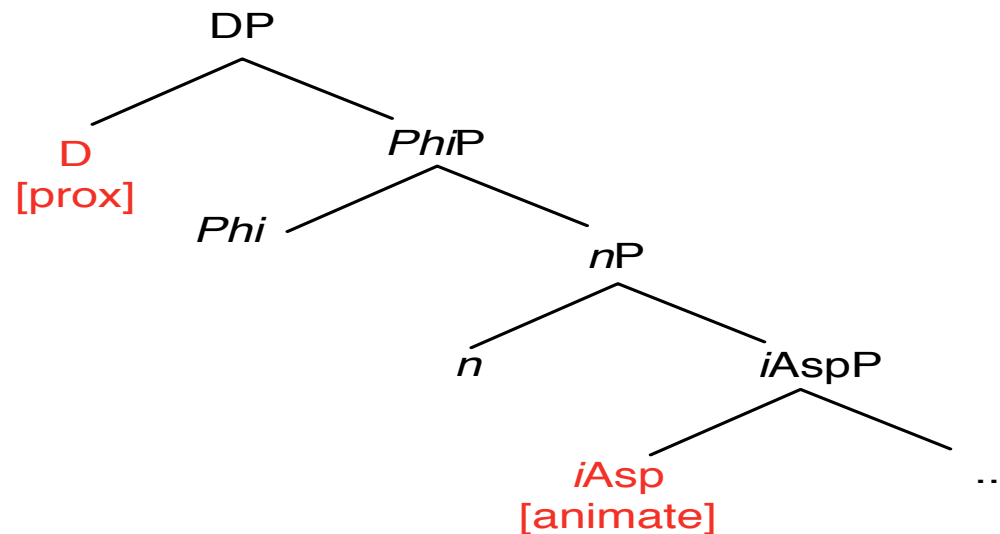
- In Blackfoot interaction between OBVIATION (reference tracking) and animacy:

- (1) a. om-**yi**          saahkomaapi-**yi**  
DEM-OBV      boy.-OBV  
‘that boy (OBV)’
- b. om-**wa**          saahkomaapi-**wa**  
DEM-PROX      boy-PROX  
‘that boy (PROX)’
- (2) a. om-**yi**          naapioyis-**yi**  
DEM-OBV      house-OBV  
‘that house’
- b. \*om-**wa**          naapioyis-**wa**  
DEM-PROX      house-PROX  
‘that house’

# [animate] vs [bounded] – Consequences

- dependency between feature of *iAsp* and higher functional categories:

	<i>iAsp</i>	D
animate nouns	[animate]	[proximate] or ( <i>non-proximate</i> )
inanimate nouns	( <i>non-animate</i> )	default ( <i>non-proximate</i> )



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# The “happy flower” puzzle



- How do you talk about fictional worlds where inanimate objects think, act and feel?

	The flower	is happy.
Strategy	Subject	Predicate
Mismatch	<i>(non-animate)</i>	[animate]
Reclassify DP	[animate]	[animate]
Reclassify predicate	<i>(non-animate)</i>	<i>(non-animate)</i>

... different Algonquian languages make different choices

# The “happy flower” puzzle



- How do you talk about fictional worlds where inanimate objects think, act and feel?

		The flower	is happy.
Strategy		Subject	Predicate
Mismatch	Blackfoot	<i>(non-animate)</i>	[animate]
Reclassify DP	Plains Cree	[animate]	[animate]
Reclassify predicate	Unattested	<i>(non-animate)</i>	<i>(non-animate)</i>



# The “happy flower” puzzle



## (1) Blackfoot:

amo-**istsi** pisatssaisski-**istsi**

this-**IN.PL** flower-**IN.PL**

‘These flowers are happy.’

iik-**i'taamssi**-y(i)-(y)aawa

very-happy-be.**AI**-PL-PRON

## (2) Plains Cree:

**awa** wāpikwaniy

this.**AN.SG** flower.**AN.SG**

‘This flower is angry.’

**kisiwāsi**-w

be.angry.**AI**-3.SG

(Johansson 2008)

# When grammar rules



Grammatically animate nouns of ontologically inanimate things

Meaning	[Animate] noun
'pipe'	kippiaapi
'blood clot'	katoyis
'stove'	po'táa'tsis
'finger'	mookítsis
'blanket'	si'káán
'wagon'	áínaka'si

# When grammar rules



- “pipe” nouns have morphosyntactic properties of grammatically animate nouns

- same nominal inflection as prototypical animate nouns:
- may be subject of AI (not II) verb
- may be object of TA (not TI) verb

(1) a. póósa-wa/-yi/-iksi                      b. kippiaapi -wa/yi/iksi  
cat.AN-PROX/OBV/AN.PL                      pipe.AN-PROX/OBV/AN.PL

(2) ann-wa      ainaka’si      yaak-it-ipoyi-wa      ann-yi      kssahko-yi  
dem-PROX    wagon.AN    FUT-LOC-stand.AI-3    DEM-OBV    ground-OBV  
‘The wagon will be standing on the ground.’                      (Kim 2014)

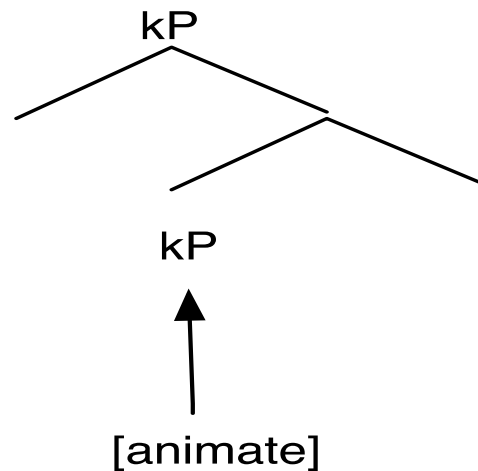
# ... but is it *iAsp*?



- **no ontological motivation** for classification of “pipe” nouns as [animate]
  - not capable of self-propelled motion
  - don’t hold cognitive states
  - don’t have physical properties of animate beings
- “pipe” nouns **don’t** bear roles that require a **semantically animate DP**
  - \*agent of transitive verb
  - \*point-of-view holder
- set of “pipe” nouns is **arbitrary**
  - varies unpredictably across Algonquian languages

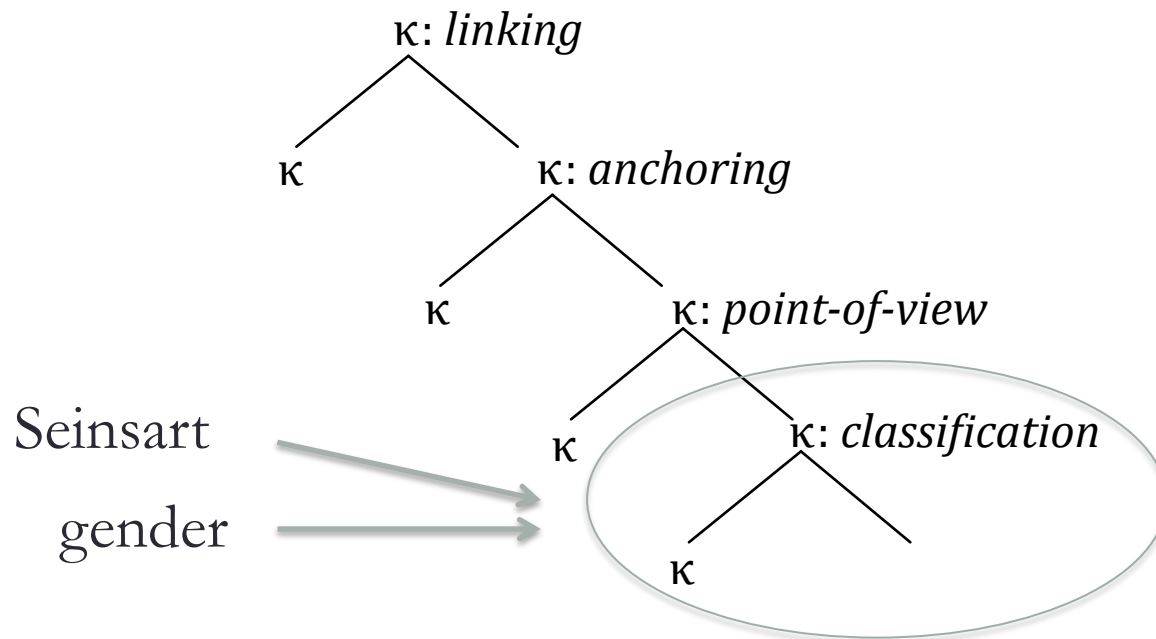
# The category of animacy – a 2<sup>nd</sup> option?

- Which category of Bf (but not English) is constructed by association with formal content representing animacy?



# The category of animacy – a 2<sup>nd</sup> option?

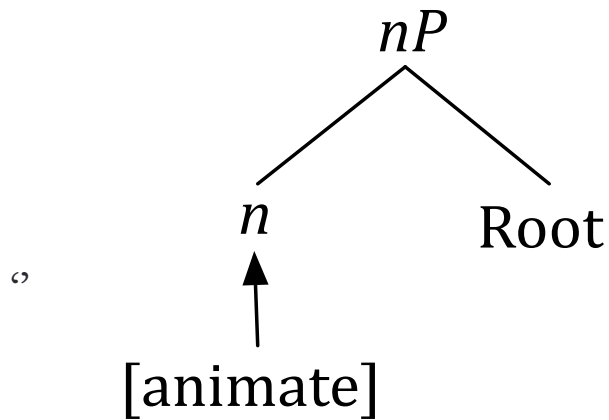
## A universal hierarchy of abstract functions



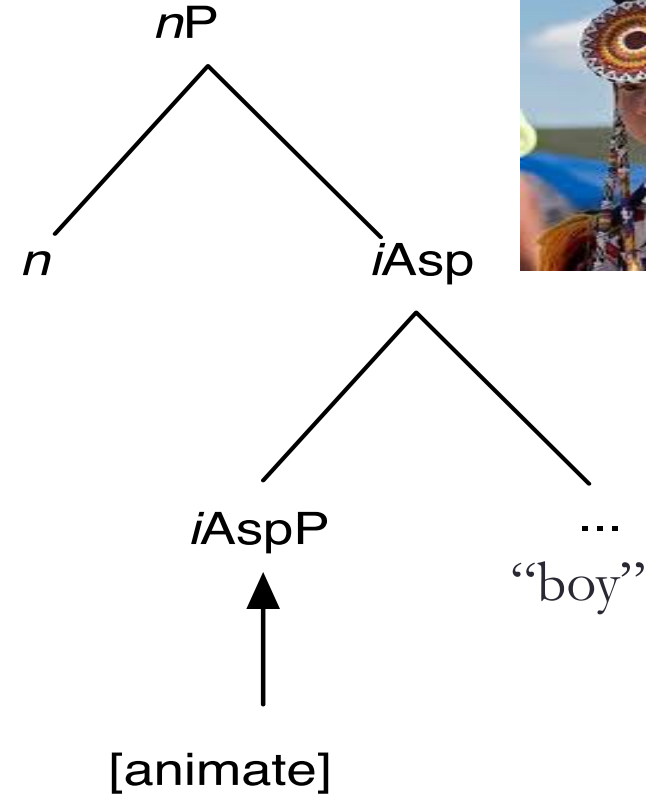
# The category of animacy – a 2<sup>nd</sup> option?

(1) [animate] as gender

(2) [animate] as lexical aspect



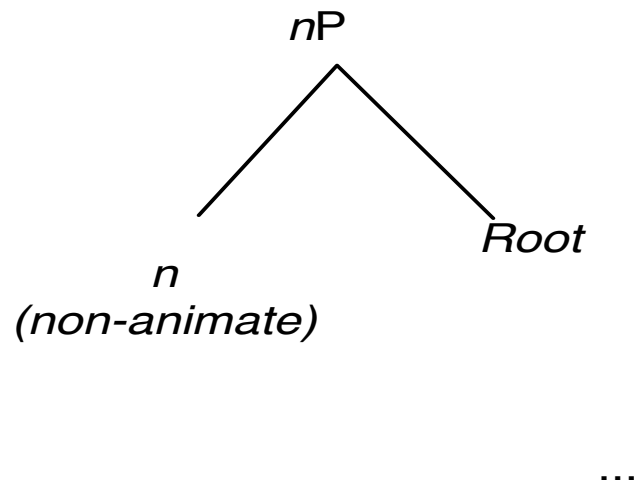
“pipe”



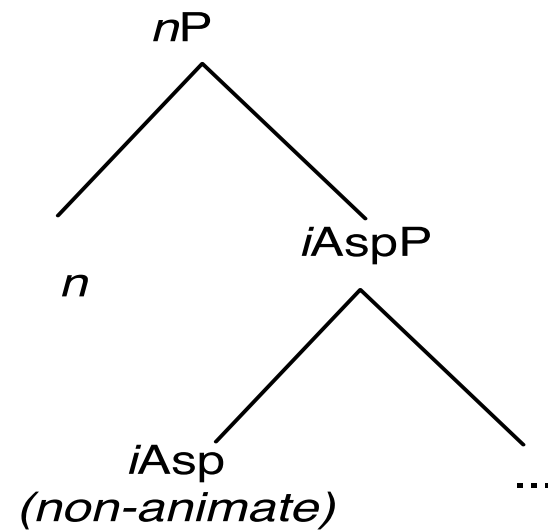
# Two options for inanimate nouns



(1) inanimate as gender



(2) inanimate as lexical aspect

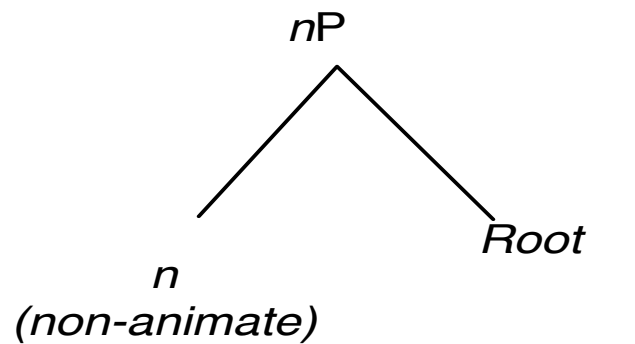




# Two options for inanimate nouns

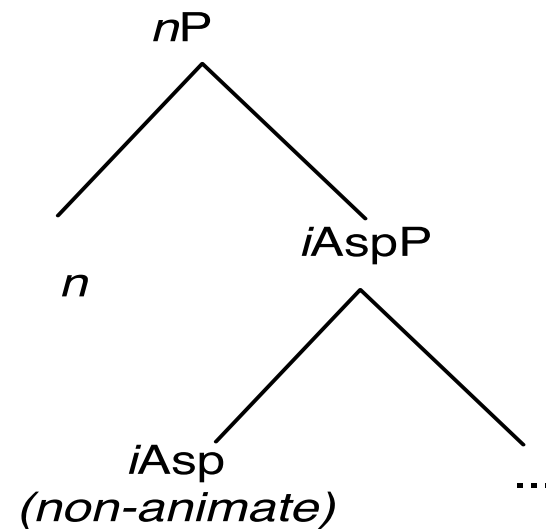


(1) inanimate as gender



**Blackfoot**

(2) inanimate as lexical aspect



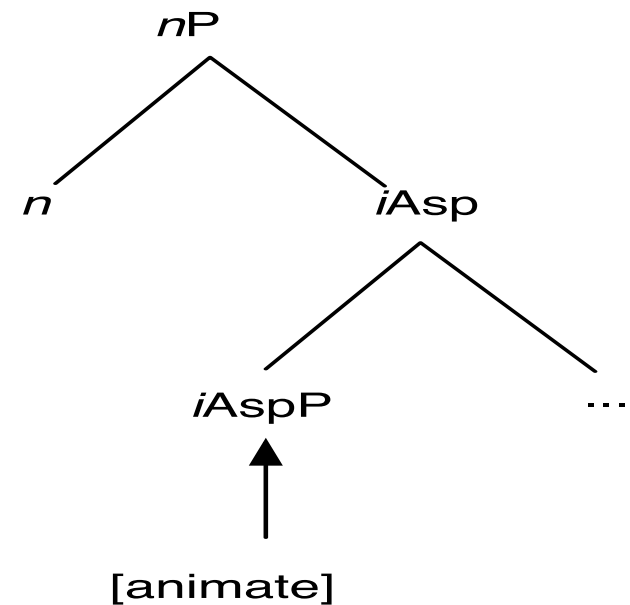
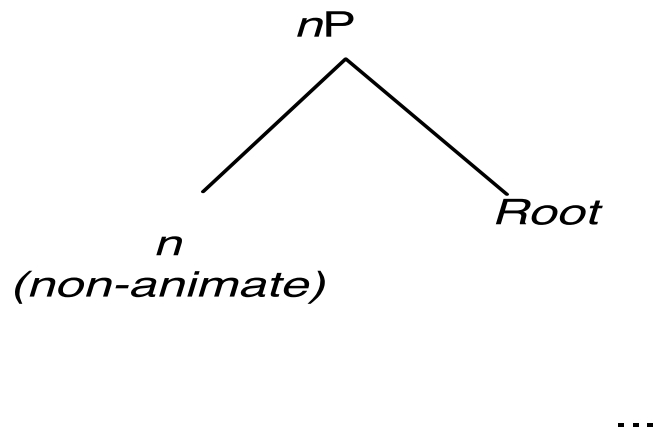
**Plains Cree**

# Two options for “happy flowers”



(1) inanimate as gender

(2) inanimate as lexical aspect



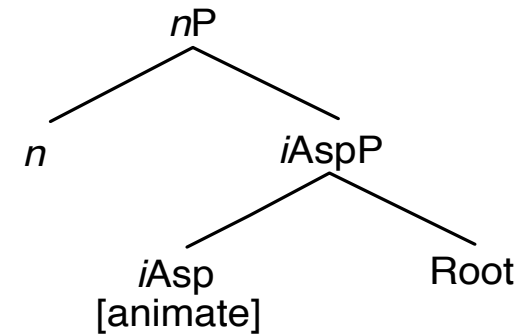
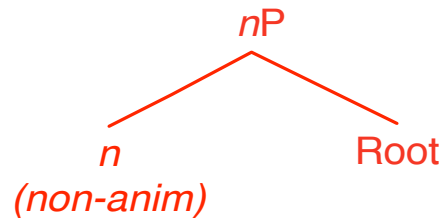
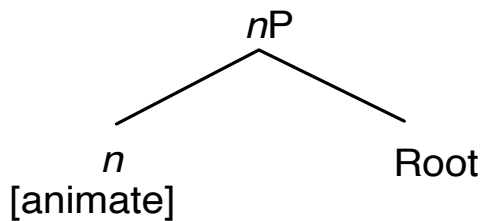
**Blackfoot:**  
-no change

**Plains Cree**  
add *[animate]*

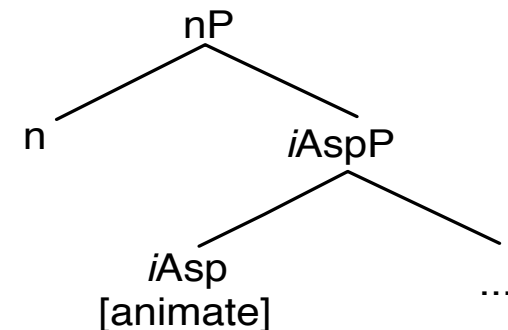
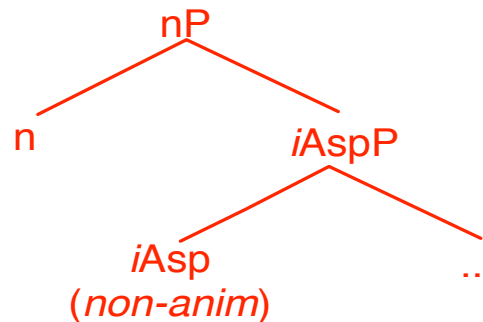
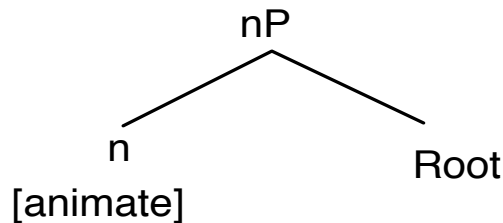
# Microvariation in the representation of inanimate nouns:



Blackfoot: All nouns that denote (normally) inanimate entities lack *iAspP*  
**Rigid**, arbitrary animacy specification = gender in *n*



Plains Cree: Only “pipe” (animate) DPs lack *iAspP*;  
**Flexible**, non-arbitrary animacy specification = *Seinsart* in *iAsp*



# Goals

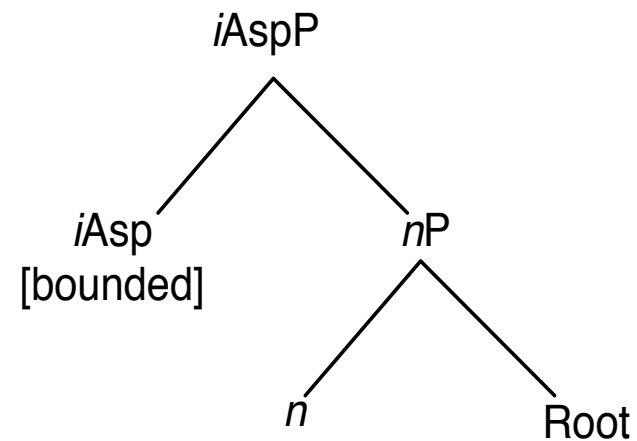
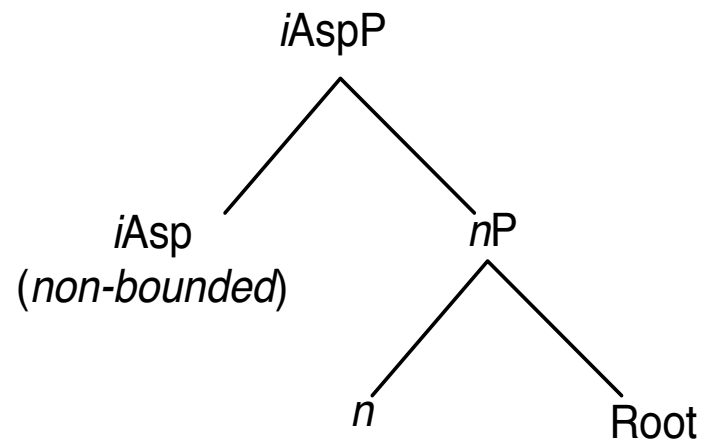
- **Empirical claim**
  - *Animacy* may or may not be part of the grammatical system of a language
- **Theoretical problem**
  - What does it mean for animacy to be part of the grammatical system? [animate] associates with the universal spine.
  - And why isn't this universal? Languages vary in their feature inventories.

Thank you.

# Extending the analysis: Flexible mass nouns in English



- English **flexible** mass nouns (e.g. *chocolate*) are like Plains Cree inanimates:
  - flexible, non-arbitrary boundedness specification
  - compatible with both count and mass syntax



# The cognitive importance of animacy

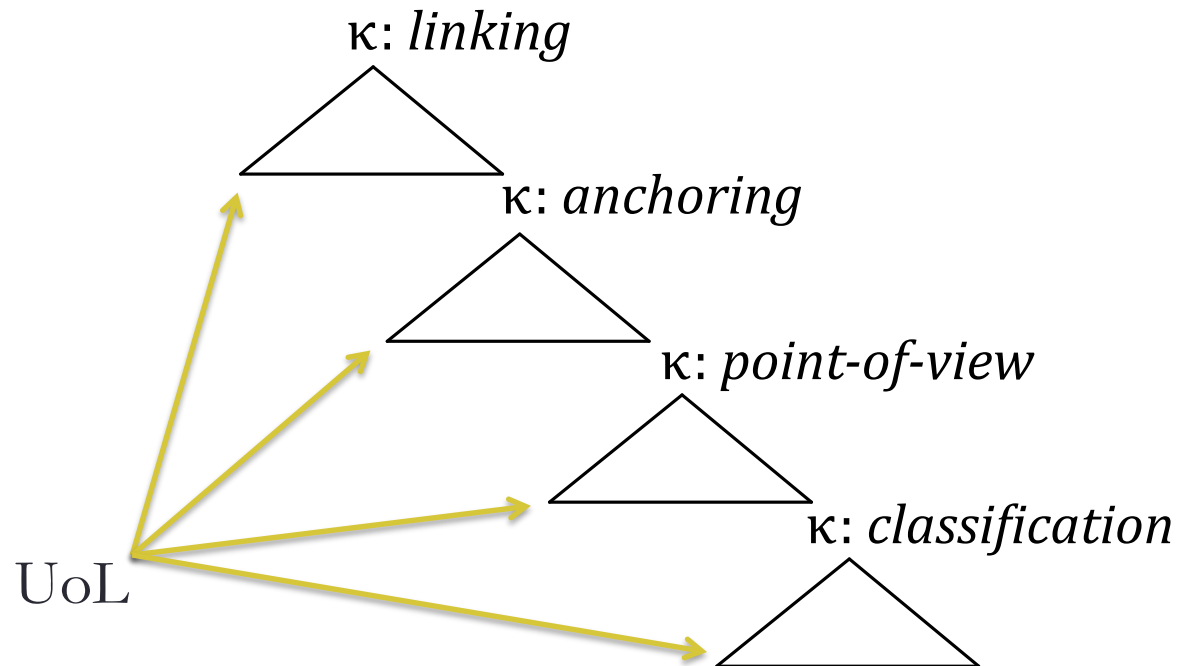
It is also the case that infants distinguish between inanimate objects and animates, namely humans, in important ways. For example, they recognize that humans are self-propelled while inanimate objects move only after contact with another object.

Kuhlmeier, Bloom & Wynn 2004: 95

...human thought is organized as a system. I explore the hypothesis that the cause of this difference is a grammatical way of structuring semantic information, and I present evidence that **the organization of grammar precisely reflects the organization of a specific mode of thought** apparently distinctive of humans.

Hinzen 2013: 1

# Variation in categorial inventories



Distributional and functional properties of categories may vary despite similar content



# No grammaticalized count-mass distinction in Bf

- Blackfoot: bare NP arguments must be objects of morphologically intransitive (AI+O) verb

(1) na-ooyi-wa                    (\*ani)    mamii/akoopis  
NA-eat.AI-3SG                    DEM    fish.an/soup.IN  
'S/he ate (fish/soup).'

(2) na-oowatoo-m-wa            \*(ani)            akoopis  
NA-eat.TI-TH-3SG            DEM            soup.IN  
'S/he ate \*(that) soup.'

# Animate delimiting arguments

Blackfoot: underived TA verbs: ✓ non-core [animate] objects  
 underived TI verbs: ✗ non-core (*non-animate*) objects

- (1) a. nit-**ohpommo**-a-wa    **om-wa**    **aakiikoan** amo-istsi    asoka'sim-istsi  
 1-buy.TA-dir-3sg    DEM-PROX girl    DEM-PL    dress-PL  
 'I bought from **that girl** these dresses.'
- b. nit-it-**ohpommatoo**-'p-yaawa    **amo-istsi**    **asoka'sim-istsi**  
 1-there-buy.TI-1:iIN-3SG    DEM-PL    dress-PL  
 om-yi    iitaophomao'p-yi  
 DEM-OBV    store-OBV  
 'I bought **these dresses** from the store.' (Bliss 2010)