1 Introduction

In **West Circassian** (or Adyghe; Northwest Caucasian), a morphologically ergative polysynthetic language, **reflexives**:

- (i) are expressed via an affix on the predicate
- (ii) are subject oriented

Contra to previous analyses of similar morphology cross-linguistically (Pesetsky 1995; Labelle 2008; Schäfer 2008; Sportiche 2014; Ahn 2015), this affix cannot be treated as the exponent of Voice⁰, a de-transitivizing operator, or the morphological reflex of the external argument.

Main claim:

- The reflexive affix marks agreement with a bound anaphor.
- Subject orientation is ensured by **licensing via Voice**_R, per Labelle (2008); Ahn (2015); cf. Sportiche's (2014) HS head.
- Given the range of possible antecedents, $Voice_R$ does not introduce the antecedent, but selects for vP and triggers movement of the antecedent to Spec, VoiceP.
- The syntactic properties of Voice_R limit the set of possible antecedents to **the high- est DP in the verbal theta-domain** (*v***P**).

Implications:

- Expansion of the typology of subject oriented anaphors.
- Support for Ahn's (2015) locality-based account of subject orientation.
- Subject orientation is epiphenomenal to the locality conditions on reflexive licensing
 subjecthood plays no role in defining distribution of anaphors.
- Voice_R singles out the highest nominal in vP as the antecedent (\approx the deep subject). \Rightarrow reflexives cannot be used as a diagnostic for surface subjecthood (cf. Caponigro and Polinsky 2011:79).

Roadmap: 2 Background on clause structure; 3 Reflexive and reciprocal agreement; 4 Locality conditions on reflexive binding; 5 The syntax of reflexive Voice_R; 6 Implications: subjecthood and syntactic ergativity;7 Conclusion.

2 Background on West Circassian

Data: Unless otherwise indicated, from the Temirgoy dialect (the basis of the literary standard); collected by the author in the Khatazhukay rural settlement and Maykop (Republic of Adygea, Russia) in fall 2017 and summer 2018.

2.1 Polysynthesis

Agglutinating morphology, head marking, pro-drop, and free word order:

(1) səqəpfarjəве $\lambda e B^W$ эв

sə- qə- p-f- a-r- jə-
$$\kappa$$
e- κ e-

'He showed me to them for your sake.' (Korotkova and Lander 2010:301)

2.2 Case and agreement

• Agreement morphology follows ergative pattern

- IO agreement is bundled with an applicative prefix, e.g. de- 'COM', fe- 'BEN'
- · Two core cases:
- -r (absolutive) = subject of intransitive verb, theme of transitive verb
- -m (oblique) = agents of transitive verbs and applied objects (+ possessors and complements of postpositions)
- (3) a. mɔ pŝaŝe-r dax-ew Ø-qa-ŝ^we this girl-ABS beautiful-ADV 3ABS-DIR-dance 'This girl(S) dances well.'

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b. sabəj-xe-m ha-xe-r Ø-q-a-λeʁwə-ʁ child-PL-OBL(=ERG) dog-PL-ABS 3ABS-DIR-3PL.ERG-see-PST 'The children(A) saw the dogs(O).'

3 Reflexive and reciprocal agreement

Reflexive and reciprocal binding is expressed morphologically via the replacement of one of the ϕ -agreement prefixes with zə- 'REFL' or ze(re)- 'REC'. 1

(4) a. $\mathbf{\hat{s}^w} \Rightarrow$ t- $\lambda e \mathbf{b}^w \Rightarrow -\mathbf{b}$ 'We saw you(pl).' **2PL.ABS**- 1PL.ERG- see -PST

b. **z** \Rightarrow 'We saw ourselves.' **REFL.ABS**- 1PL.ERG- see -PST

In West Circassian, reflexive and reciprocal morphology marks agreement with a syntactically active bound anaphor.

Contrast with:

- (i) de-transitivizing reflexive/reciprocal morphology in e.g. Hebrew (Reinhart and Siloni 2005), Passamaquoddy, Japanese and Chichewa (Bruening 2004)
- (ii) free-standing reflexive/reciprocal pronouns in e.g. English

3.1 The morphological position changes to reflect bound argument

(5) ABS(S) > IO

a. wə- **zə**- f- je- ǯe -ž'ə -в 2SG.ABS- **REFL.IO**- BEN- DAT- read -RE -PST

'You studied for yourself.'

IO->REFL

b. te λ ešə tə- ze- fe- χ^w ə - ι we strong 1PL.ABS- **REC.IO**- BEN- become -PST

'We became strong for each other.'

IO->REC

REFL: ERG > ABS

(6) zo- ŝw-e- s- š'e -n s-λeč'o-š't

REFL.ABS- 2PL.IO+DAT- 1SG.ERG- sell -MOD 1SG.ERG-can-FUT

'I could sell myself to you (there's nothing else).' (A salesperson joking about their store running out of goods.)

ABS→REFL

3.2 No valency reduction

Antecedent DP must carry case of non-anaphor argument:

(7) **ABS(S)** > **IO**:

a. sabəj-xe-**r/*m(ABS)** refl(IO) κ^wənǯe-m child-PL-**ABS/*OBL** mirror-OBL

'The children are looking at themselves in the mirror.'

REFL

b. sabəj-xe-**r/*m(ABS)** rec(IO) Ø- **z**- e- pλə -ž'ə-x child-PL-**ABS/*OBL** 3ABS- **REC.IO**- DAT- look -RE -PL

'The children are looking at each other.'

REC

(8) ERG > IO:

a. $\lambda \ni -\hat{z} \ni -\mathbf{m}(\mathbf{ERG})$ $\emptyset - \mathbf{j} \ni -\mathbf{pa} ?^{\mathbf{w}} \mathbf{e}(\mathbf{ABS})$ $refl(\mathbf{IO})$ $\emptyset \mathbf{z} \ni \mathbf{s}' \ni \lambda \mathbf{a}$ $-\mathbf{g}$ man-old- \mathbf{OBL} 3SG.PR-POSS-hat 3ABS- $\mathbf{REFL.IO}$ - LOC- $\mathbf{put.on}$ -PST

'The old man put his hat on himself.' (R&K1966:267)

REFL

b. (...) a-xe-**me**(ERG) zanč'-ew rec(IO) that-PL-PL.OBL direct-ADV zewəže(ABS) Ø- ze- r- a- ?wete -ž'ə -š'tə -ʁe all 3ABS- REC.IO- DAT- 3PL.ERG- tell -RE -IPF -PST

'They certainly told the whole truth to each other.' (R&K1966:274)

REC

$\underline{\textbf{REFL: ERG} > \textbf{ABS}}$

(9) s-jə-pŝaŝe-xe-**m/*r(ERG)** refl(ABS) **z**- a- fepa -ʁ 1SG.PR-POSS-girl-PL-**OBL/*ABS REFL.ABS**- 3PL.ERG- dress -PST

'My daughters dressed themselves.'

REFL

¹zere- for ergative DPs and causees of a transitive verb; ze- for all other arguments.

Anaphor is usually null, but may be expressed overtly:

(10) š'aķ^we-m(ERG) **jež'(10)** tovarə-r salesperson-OBL **self** product-ABS

Ø- ze- r- jə- š'e -ž'ə -ʁ 3ABS- REFL.IO- DAT- 3SG.ERG- sell -RE -PST

'The salesperson sold the product to herself.'

REFL

(11) [$\mathbf{za\text{-m}}$ $\mathbf{za\text{-r}}$](IO) \hat{s}^w \mathbf{a} - \mathbf{qa} \mathbf{ze} - \mathbf{de} - \hat{s}^w \mathbf{e} - \hat{z} ' \mathbf{a} - \hat{s} 't - \mathbf{a} - \mathbf{e} -

'Will you(pl) dance with each other?'

REC

Summary:

Reflexive and reciprocal morphemes track agreement with a syntactically active anaphoric pronoun.

 \Rightarrow Their position within the verbal form can be used to diagnose the syntactic position of the bound pronoun.

4 Locality conditions on reflexive binding

Main generalization:

Reflexives are local subject oriented, i.e. may only be bound by a deep, non-derived subject = the highest argument within vP.

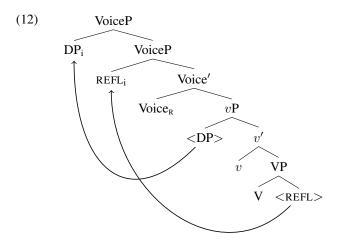
Local subject oriented reflexives are cross-linguistically common: e.g. *se/si* in French and Italian (Rizzi 1986; Labelle 2008; Sportiche 2014, a.o.); *-koL* in Kannada (Lidz 1996, 2001); see also Ahn (2015)and references therein.

Building on Ahn (2015), local subject oriented reflexives must be licensed by $Voice_R$; cf. Sportiche's (2014) projection HS.

 $Voice_R$ selects for vP and attracts two arguments to its specifier:

- the highest DP in $vP \rightarrow local$ subject orientation²
- the reflexive pronoun \rightarrow syntactically active anaphor

Semantically, Voice_R imposes co-identity on the two arguments in its specifiers.



Contrast with **reciprocals**, which are general anaphors bound by a c-commanding antecedent within the A-domain (TP).

(13) Reflexive versus reciprocal distribution:

Predicate type	Binding directionality	
	Reflexives	Reciprocals
3-place transitive	ERG>IO	ERG>IO
	*IO>ABS/*ABS>IO	ABS>IO
Transitive w/demoted agent	IO>ABS	ABS>IO
Unergative w/applied object	ABS>IO	ABS>IO

Generalization #1: Reflexive binding possibilities in three-place predicate:

- a. [_{vP} DP(ERG) ... [_{ApplP} DP(IO) ... [_{VP} **REFL**(ABS) ...

 ✓antecedent *antecedent
- b. $[_{vP} DP(ERG) ... [_{ApplP} REFL(IO) ... [_{VP} DP(ABS) ...$ *antecedent *antecedent

²Cf. Ahn (2015), where the highest DP in vP moves to Spec,PredP immediately above VoiceP.

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(14) **Theme-**IO-Agentfethač'ə -в Z_{i/*j}-REFL.ABS- 3PL.IO- BEN- 1SG.ERG- wash -PST

ERG > ABSa. 'I washed myself for them.' b. * 'I washed them for themselves.' *IO > ABS

(15) **Theme- IO-**Agent-Sithač'ə -ве -х Ø_i-ZƏ_i/∗¡⁻ fe-3ABS- REFL.IO- BEN- 1SG.ERG- wash -PST -PL

> a. 'I washed them for myself.' b. * 'I washed them for themselves.' *ABS > IO

Cf. reciprocals can be bound by an ABS theme in three-place predicate:

Theme- IO-(16)Agentiəš'а -в 1PL.ABS- REC.IO- BEN- 3SG.ERG- bring -PST 'S/he brought us together (lit. to each other)'

ABS > IO

ERG > IO

Generalization #2: Reflexive binding with demoted agent: IO > ABS $[_{vP}\ [_{ApplP}\ DP(IO)\ ...\ [_{VP}\ \textbf{REFL}(ABS)\ ...$ ✓ antecedent

Ergative "demotion" in potential construction: ERG→IO(BEN)

(17) a. \check{c} 'ale-xe-m bukva-xe-r Ø- **a**yer_M∋ -xe boy-PL-OBL letter-PL-ABS 3ABS- 3PL.ERG- see -PL(ABS) -PRS -NEG 'The boys do not see the letters.' Baseline

b. č'ale-xe-m bukva-xe-r Øa**fe-** $\lambda e R_M \Rightarrow -xe$ boy-PL-OBL letter-PL-ABS 3ABS- 3PL.IO- BEN- see -PL(ABS) -PRS -NEG

'The boys cannot see the letters.' (Letuchiy 2010:335) **Potential:ERG→IO**

Demoted ergative agent still binds reflexives:

IO(<ERG)-(18) **Theme**fe- λeu^wə -š't -ep REFL.ABS-1SG.IO-BEN- see -FUT -NEG 'I won't be able to see myself.'

REFL: IO(ERG) > ABS

Cf. reciprocals – absolutive theme (derived subject) binds demoted ergative: ABS > IO

(19)Theme- IO(<ERG)fe- $\lambda e \kappa^{W} \Rightarrow -xe$ a-xe-r -r -ep that-PL-ABS 3ABS- REC.IO-BEN- see -PL(ABS) -PRS -NEG

'They hate each other (lit. cannot see each other)'

REC: ABS > IO(ERG)

Generalization #3: Reciprocal and reflexive binding patterns match when highest DP in vP also c-commands the anaphor at the level of TP.

- Transitive verb with applied object: **ERG** > **IO**
- Unergative verb with applied object: **ABS** > **IO**
- [vP DP(ERG/ABS) ... [ApplP REFL/REC(IO) ...ABS > IO**✓** antecedent
- [vP **REFL/REC**(ERG/ABS) ... [ApplP DP(IO) ... *IO > ABS*antecedent

Transitive three-place predicate: **ERG**>**IO** for both reflexives and reciprocals.

(20) a. Ø- qə- **z**tə - ž'ə - ĸ 3ABS- DIR- REFL.IO- DAT- 1PL.ERG- give -RE -PST

'We gave it to ourselves.'

REFL:ERG>IO

b. te(ERG) wəne-xe-r zehouse-PL-ABS 3ABS- REC.IO- BEN- 1PL.ERG- do -PST

'We built houses for each other.' (Arkadiev et al. 2009:67)

REC:ERG>IO

Unergative verbs with applied object: **ABS**>**IO** for both reflexives and reciprocals.

ABS(S)- IO-(21) a.

f- je- še -ž'э-в 2SG.ABS- REFL.IO- BEN- DAT- read -RE -PST

f- je- že -ž,э-к REFL.ABS- 2SG.IO- BEN- DAT- read -RE -PST

'You study for yourself.'

REFL:ABS>IO|*IO>ABS

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Cf. reciprocals show same binding pattern:

(22) a. ABS(S)- IO-
da
$$\hat{s}^w \rightarrow \hat{c}' \rightarrow ze$$
- tje- $k^w \rightarrow we - \hat{z}' \rightarrow -re$ -r
what 2PL.ABS- RSN- REC.IO- LOC- yell -RE -PRS -ABS
b. * da ze- $\hat{c}' \rightarrow \hat{s}^w \rightarrow tie$ - $k^w \rightarrow we - \hat{z}' \rightarrow -re$ -r

what **REC.ABS**- RSN- 2PL.IO- LOC- yell -RE -PRS -ABS

'Why are you yelling at each other?'

REC:ABS>IO|*IO>ABS

Summary of distribution:

- Reflexive zə- is local subject oriented can only be bound by highest DP in vP.
- Reciprocal ze(re)- is not local subject oriented can be bound by any c-commanding DP in TP.

Implications:

- Reflexive binding is established via vP without reference to the full clause structure \Rightarrow reflexives cannot be used as a diagnostic for surface subjecthood.
- In previous literature on local subject oriented anaphors, the antecedent must be <u>both</u> the deep and surface subject (see e.g. discussion in Ahn 2015:200-217).
- West Circassian shows that the antecedent <u>need not</u> be the surface subject e.g. a demoted ergative agent, confirming an implicit prediction of Ahn's (2015) analysis.

5 The syntax of Voice_R

The analysis: Reflexive binding is mediated via Voice_R, per Ahn (2015).

Desiderata:

- 1. Local subject orientation.
- 2. The presence of a syntactically active bound pronoun; cf. analysis of French *se* as the external argument (Pesetsky 1995) or Voice⁰ (Labelle 2008).
- 3. Productivity: not limited to naturally reflexive verbs, like Russian -*sja* (Schäfer 2008), or to intrinsically transitive verbs, like French *se* (Sportiche 2014).

 $Voice_R$ selects for vP and attracts two arguments to its specifier:

- the highest DP in $vP \rightarrow local$ subject orientation
- the reflexive pronoun \rightarrow syntactically active anaphor

Semantically, Voice_R imposes co-identity on the two arguments.

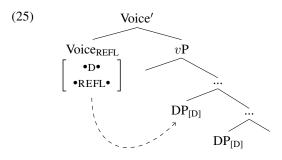
Implementation:

- Structure-building (movement-triggering) probe features per Heck and Müller (2007); Müller (2010): [•F•]
- Per Georgi and Müller (2010); Müller (2010); Martinović (2015), probe features are hierarchically ordered, e.g.: [•F ≫ •G •]
- In a hierarchical feature ordering, only the leftmost/highest unchecked feature is visible for syntactic operations.
- Licensee goal features as in Minimalist Grammars (Stabler 1997, 2010; Keenan and Stabler 2003; Lecomte and Retoré 1999, 2001, a.o.).
- Locality conditions on movement (Chomsky 1995, a.o.): A probe with feature [•F•] must attract the highest goal in its c-command domain with the matching feature [F] or [+F+].
- All probe and licensee features must be checked.

The two components of reflexive syntax:

- (23) Reflexive Voice_R: $[\bullet D \bullet \gg \bullet REFL \bullet]$
- (24) Syntactically active reflexive pronoun: [D; +REFL+]

Deriving local subject orientation: only the highest DP in vP can be an antecedent per locality conditions on movement:

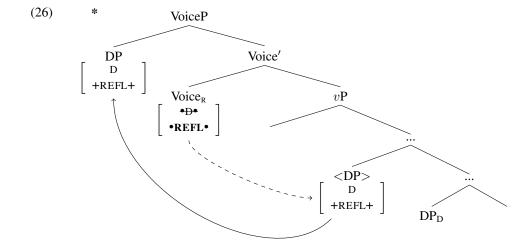


⇒ subject orientation is reduced to locality conditions on movement.

Ensuring c-command between antecedent and reflexive before movement:

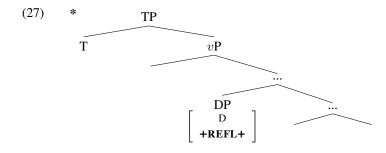
the antecedent DP must c-command the anaphor to satisfy ordered feature checking.

Otherwise, [•REFL•] on Voice_{REFL} remains unchecked.



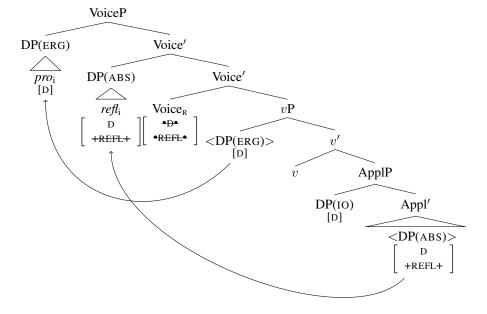
Ensuring co-occurrence of $Voice_R$ and reflexive pronoun, i.e. that the reflexive is local subject oriented: both [\bullet REFL \bullet] on $Voice_R$ and [+REFL+] on the reflexive pronoun <u>must</u> be checked.

 \Rightarrow a reflexive pronoun without Voice_R is ungrammatical:



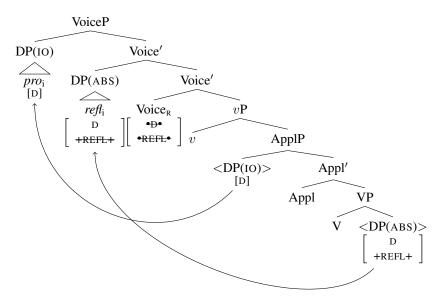
Sample derivations:

- (28) Three-place predicate (ERG-IO-ABS): ERG > ABS; *IO > ABS:
 - 1. DP(ERG) moves to check [•D•] on Voice_R.
 - 2. DP(ABS) moves (tucks in) to check [•REFL•] on Voice_R and [+REFL+] on DP(ABS).



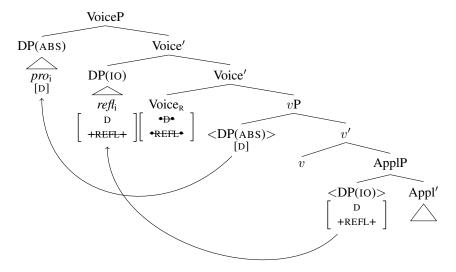
(29) Transitive with 'demoted' agent: IO > ABS; *ABS > IO:

- 1. DP(IO) moves to check [•D•] on Voice_R.
- 2. DP(ABS) moves to check [•REFL•] on Voice_R and [+REFL+] on DP(ABS).



(30) Unergative w/applied object: ABS(S) > IO; *IO > ABS(S):

- 1. DP(ABS) moves to check $[\bullet D \bullet]$ on $Voice_R$.
- 2. DP(IO) moves to check [\bullet REFL \bullet] on $Voice_R$ and [+REFL+] on DP(IO).



Summary:

- The distribution of reflexives is conditioned by Voice_R, which merges immediately above vP, reducing possible antecedents to the **highest DP in** vP.
- Locality conditions on Voice_R predict that reflexives $\underline{\text{must}}$ be bound by the highest nominal in vP, but that nominal need not be a surface subject.
- See Appendix A for further evidence.

6 Implications: subjecthood and syntactic ergativity

Reflexives must be bound within VoiceP \Rightarrow reflexive binding is only sensitive to structural prominence within vP, not the full clause.

Implications:

- Reflexive binding is not a reliable subjecthood diagnostic in West Circassian; cf. Caponigro and Polinsky (2011:79).
- This explains mismatches in directionality of binding between reflexives and reciprocals.

Reflexives vs reciprocals: in a transitive verb (ERG-ABS), reflexive and reciprocal prefixes replace ϕ -agreement morphemes of opposite arguments.

(31) Theme(ABS)- Agent(ERG)-

a.
$$\hat{s}^w \ni$$
-
2PL.ABS- 1PL.ERG- see -PST 'We saw you(pl).'

b. $z \ni$ -
REFL.ABS- 1PL.ERG- see -PST 'We saw ourselves.'

c. te-
1PL.ABS- REC.ERG- see -PST 'We saw each other.'

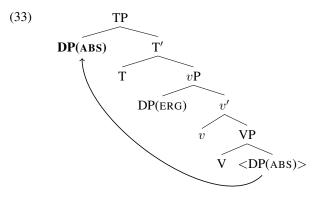
The reciprocal morpheme *zere*- is agreement with an anaphor in the ergative position – antecedent triggers absolutive agreement and must be absolutive case-marked:

Explanation: The absolutive theme undergoes movement to Spec,TP, c-commanding the ergative agent.

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Previous proposals for high absolutive: Bittner and Hale (1996); Manning (1996); Baker (1997); Aldridge (2008); Coon et al. (2014); Yuan (2018).

Proposed clause structure for a transitive (ERG-ABS) verb:



Other support for high ABS: conditions on parasitic gap licensing (Ershova 2018, 2019).

7 Conclusion

Reflexive morphology in West Circassian:

- expresses agreement with a syntactically active bound anaphor
- is licensed by specialized Voice_R
- syntactic properties of $Voice_R$ limit set of possible antecedents for reflexives to the highest nominal in vP

The antecedent for reflexives:

- is not constrained in terms of theta-role (need not be an external argument)
- is not limited to a particular structural position (e.g. Spec, vP or Spec, ApplP)
- does not need to correspond to the surface subject in Spec,TP

Broader implications:

• Conditions on local subject orientation makes no reference to subjecthood, confirming the idea that subjecthood is not a primitive (see e.g. Harley 1995; Bobaljik and Jonas 1996; McCloskey 1997).

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- Reflexive binding is not a reliable subjecthood diagnostic may only be used to diagnose structural prominence within vP.
- In contrast, reciprocal binding provides evidence for high absolutive, i.e. structural syntactic ergativity.

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Appendix A Further predictions of Voice_R analysis

Prediction: Any nominal may serve as an antecedent for a reflexive, as long as it is the highest nominal in vP.

Confirmed by:

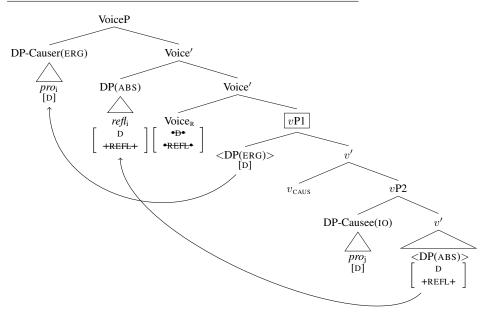
- synthetic causative constructions
- unaccusative verbs with applied objects

A.1 Antecedents in synthetic causatives

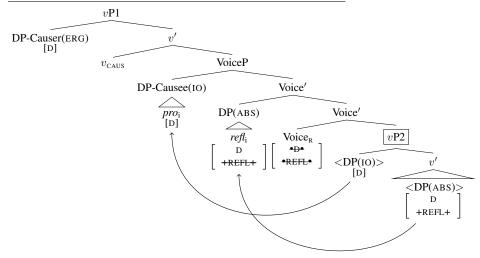
<u>Prediction</u>: In a synthetic causative construction, with recursive embedding of vP's, both the causer and causee can be an antecedent, depending on which vP is selected by $Voice_R$.

[
$$_{vP1}$$
 DP-Causer(ERG) ... [$_{vP2}$ DP-Causee(IO) ... [$_{VP}$ **REFL**(ABS) ... \checkmark antecedent

(35) Causative: $ERG(CAUSER) > ABS - Voice_{REFL}$ selects for vP1



(36) Causative: $IO(CAUSEE) > ABS - Voice_{REFL}$ selects for vP2



A.2 Unaccusative verbs with applied object

Prediction: In an unaccusative verb with a high applicative, the applied object can bind a reflexive in absolutive theme position.

Two structures available for applicative unaccusatives:

a.
$$[_{vP} [_{ApplP} DP(IO) ... [_{VP} REFL(ABS) ...$$
 IO > ABS

b.
$$[_{vP} \text{ DP}(ABS) \dots [_{ApplP} \text{ REFL}(IO) \dots [_{VP} \dots]$$
 \checkmark antecedent

Unaccusative verbs do not productively combine with high applicatives – only possible for a small set of so-called 'inverse' predicates.

- (37) A transparent example: $j \ge$ 'LOC' + ?e 'be' = $j \ge$?e 'have'
 - a. **zə-** s- jə- ?e -ž' zepət **REFL.ABS-** 1SG.IO- LOC- be -RE always
 - b. sə- **z** jə- ?e -ž' zepət 1SG.ABS- **REFL.IO**- LOC- be -RE always

'I always have myself'

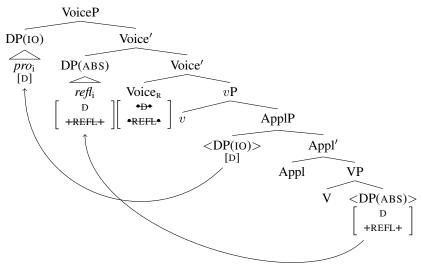
ABS>IO|IO>ABS

- (38) A lexicalized example: \dot{s} ' ∂ 'LOC' + $u^{W}\partial p\dot{s}e$ '??' = \dot{s} ' ∂ - $u^{W}\partial p\dot{s}e$ 'forget'
 - a. **z**ə- s- š'ə- swəpše -ž'ə -s **REFL.ABS**- 1SG.IO- LOC- forget -RE -PST
 - b. sə- **z-** š'ə- ʁ^wəpše -ž'ə -ʁ 1sg.abs- **refl.io**- loc- forget -re -pst

'I forgot about myself (e.g. when serving food).'

ABS>IO|IO>ABS

(39) Unaccusative w/ applied object: IO > ABS



Cf. reciprocals allow only ABS > IO:³

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³Contra Arkadiev et al. (2009:64); Letuchiy (2010:342); a possible source of confusion may be in homophony of reflexive and reciprocal markers in prevocalic environments. See Ershova (2019) for detailed discussion.