

Innate Activity

Gradience in Korean Compound Tensing

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INTERACTION OF
GRAMMATICAL
BUILDING BLOCKS

Nutshell

How to deal with exceptionality?

- **Compound Tensing (CT)** in Korean unexpectedly fails to apply to certain Noun-Noun compounds (Jun 2001; Zuraw 2011; Ito 2014; Kim 2016).
- Should this exceptionality be dealt with the grammar or through lexicalization?

Gradient Symbolic Representation

- I argue for an account in terms of **Gradient Symbolic Representations (GSR)**; Smolensky and Goldrick, 2016, Rosen 2016).
- The intrinsic property of GSR captures **the nature of gradient inclination for CT**, which is impossible with other systems.

Learnability

- An **error-driven algorithm** also shows that the scalar activities are learnable.

Data

Laryngeal contrasts

- Korean has a three-way distinction in terms of laryngeal contrast in obstruents

(1)

- (a) /pul/ → [p̥ul] 'fire'
 (b) /p^hul/ → [p^hul] 'grass'
 (c) /p'ul/ → [p'ul] 'horn'

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Compound Tensing

■ Compound Tensing (CT) :

When a **compound** consist of two nouns, W_A and W_B , initial plain obstruents of W_B s undergo junctural processes including **obstruent tensification**.

(2)

- | | | | |
|-----|-----------------|------------------------|----------------|
| (a) | /hɛ/ + /pic/ | → [hɛ. p 'it] | post Vowel |
| (b) | /kailil/ + /pi/ | → [ka.ɪl. p 'i] | post Lateral |
| (c) | /pom/ + /pi/ | → [pom. p 'i] | post Nasal |
| (d) | /pok/ + /pi/ | → [pok. p 'i] | post obstruent |

Exceptionality

- 23% noun-noun compounds exceptionally does not undergo CT in a random fashion (Jun 2015; Zuraw 2011; Ito 2014; Kim 2016).

(3)

Regular Pattern		Exception	
(a)	/hɛ/ + /pap/ → [hɛ.pʰap]	(e)	/koŋ/ + /pap/ → [koŋ.pap]
(b)	/hɛ/ + /kuksʰu/ → [hɛ.kʰuksʰu]	(f)	/koŋ/ + /kuksʰu/ → [koŋ.kuk.sʰu]
(c)	/pipim/ + /pap/ → [pi.pim.pʰap]	(g)	/pipim/ + /kuksʰu/ → [pi.pim.kuk.sʰu]
(d)	/koŋ/ + /karu/ → [koŋ.kʰa.ru]	(h)	/hɛ/ + /toci/ → [hɛ.to.ci]

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Gradient Pattern of Tensing

- The **compound tensing** exhibit continuum of **gradient preferences** depending on **both the conjuncts** W^A , W^B in the compound.

(4)

(a)	/hɛ/	+ /pap/	→ [hɛ. p 'ap]
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(c)	/hɛ/	+ /karu/	→ [hɛ. ka .ru]
(d)	/pipim	+ /pap/	→ [pi.pim. p 'ap]
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(f) /pipim + /karu/ → [pi.pim.ka.ru]

(g) /koŋ/ + /pap/ → [koŋ.pap]

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Gradient Pattern of Tensing

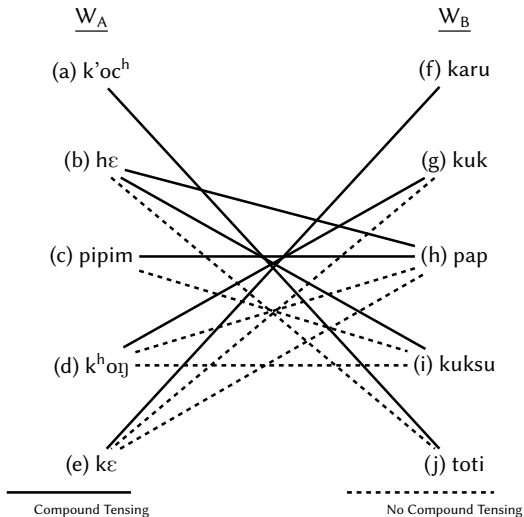
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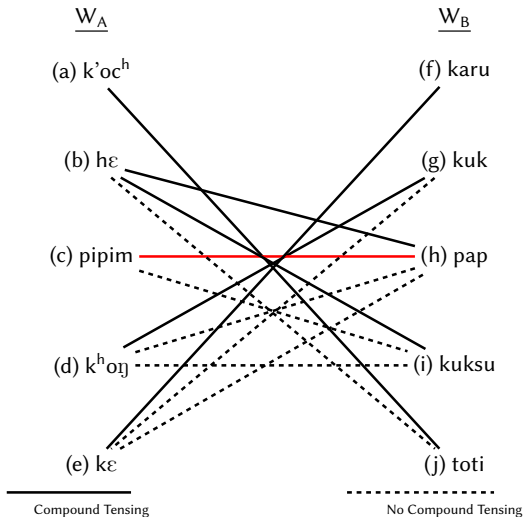
Gradient Pattern of Tensing

(6) Gradient patterns for compounding tensing



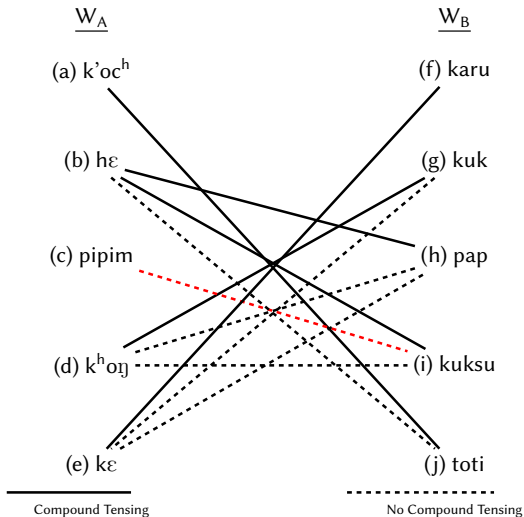
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Gradient Pattern of Tensing

(6) Gradient patterns for compounding tensing



Gradient Pattern of Tensing

There is no way in standard rule-based (Chomsky and Halle, 1968) or Optimality theory frameworks (Prince and Smolensky, 1993) where features are binary or privative, to give a word a feature that will determine its precise degree of preference for CT .

Proposal

Gradient Symbolic Representation

- Symbols in a linguistic representation can have **different activities** :
‘Symbols are discrete but their degree of presence in a given linguistic representation is continuously gradient’ (Smolensky and Goldrick, 2016, 2)
- (Continuous) Numerical strength from 0 to 1 can be associated to input
- Output elements are all fully active (1) as discrete forms

Gradient Symbolic Representation

- The underlying structure is grammatically computed inside **Harmonic Grammar** (Legendre et al. 1990)
- It can predict lexical exceptions :
 - Elements in the underlying representation of a morpheme can be **too weak** to undergo/trigger a certain process
 - Elements associated with different activity can be **strong enough** to undergo/trigger the same process

Claim

- I suggest that each edge of nouns in Korean may have **floating feature** [cg] (Zoll 1996) with **gradient activity** in the underlying structures (Rosen 2016, 2018)

(7)

...

•
|
m

[cg]_{0.4}^A

[cg]_{0.2}^B

•
|
k

...

Claim

- CT occurs by the **coalescence** of two stem-specific, partially activated floating $[cg]$ features and **docking** to the root node

(8)

...

•
|
m

$[cg]_1^{A,B}$

•
|
p

...

Claim

- Only when the additive combination of these features $[cg]^{A,B}$ exceeds some threshold Σ does tensing occur.

(9) A hierarchy of 5-level of activation values for compounding tensing

$[cg]_A / [cg]_B$	0 ----- 1				
○	X	X	X	X	✓
	X	X	X	✓	✓
	X	X	✓	✓	✓
	X	✓	✓	✓	✓
┆	✓	✓	✓	✓	✓

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	X	X	✓	✓	✓
	X	✓	✓	✓	✓
	✓	✓	✓	✓	✓

Constraints

- MAX[*cg*] : Input must have output correspondents.
It **rewards** underlying activity that makes it to the surface.
- i.e., the more strength the feature bears, the more rewards it induces when it realizes

- IDENT[*cg*] : The specification for the feature [*cg*] of an input segment must be preserved in its output correspondent.
- i.e., It **penalizes** the feature change

- UNIFORMITY[*cg*] : No feature [*cg*] in the output has multiple correspondents in the input.
- i.e., ‘No coalescence’

Optimization

- This analysis accounts for the gradient nature of CT.
- The Harmony of the representation τ is :

$$(10) \quad H(r) = 1 \cdot \mathbb{C}_{\text{Max[cg]}}(r) - 0.6 \cdot \mathbb{C}_{\text{Ident[cg]}}(r) - 0.1 \cdot \mathbb{C}_{\text{Uniformity[cg]}}(r)$$

- The candidate with maximal harmony in its candidate set is the optimal output

Optimization : Compound Tensing

$\mathbf{W}_A : /pipim/ - \tau : 0.4$, $\mathbf{W}_B : /pap/ - \tau : 0.4$

(11) $T_1. pipim + pap \rightarrow [pi.pim.p'ap]$

	... m	$[cg]_{0.4}^x$	$[cg]_{0.4}^y$	 p	...	MAX ([c.g]) $w = 100$	IDENT ([c.g]) $w = -60$	UNIFORMITY ([c.g]) $w = -10$	H
$O_1 :$... m			 p	...				0
$O_2 :$... m		$[cg]_1^{x,y}$ (dashed line to p)	 p	...	(0.4+0.4)	1	1	10

- The sum of additive feature $[cg]$ from two conjuncts are **strong enough** to undergo CT

Optimization : No Compound Tensing

$W_A : /pipim/ - \tau : 0.4, W_B : /kuksu/ - \tau : 0.2$

(12) $T_2. pipim + kuksu \rightarrow [pi.pim.kuk.s'u]$

...	•	$[cg]_{0.4}^x$	$[cg]_{0.2}^y$	•	...	MAX ([c.g]) $w = 100$	IDENT ([c.g]) $w = -60$	UNIFORMITY ([c.g]) $w = -10$	H
		m			k				
O_1 :	•			•					0
		m			k				
O_2 :	•		$[cg]_1^{x,y}$	•		$(0.4+0.2)$	1	1	-10
		m			k				

- The total sum of the feature [cg] of 'pipim' and 'kuksu' is **too weak** to undergo tensification.

No cyclicity

- The evaluation applies at once, not cyclically
- Given that the assumption that output elements are all fully active (1) (i.e., **stong enough**), we can only get a tensification output at the next step, contrary to the fact

(13)

- (a) $[[/h\varepsilon/ +/koŋ/]+/k̄irit/]$ → $[h\varepsilon.koŋ.k̄i.r̄it]$, $*[h\varepsilon.koŋ.k̄'i.r̄it]$
 (b) $[[/h\varepsilon/ +/pap/]+/karu/]$ → $[h\varepsilon.koŋ.k̄'aru]$, $*[h\varepsilon.koŋ.k̄a.ru]$

No Sensitivity to Bracketing

- The gradient activity is purely phonologically sensitive, not to the morphological boundary

(14)

- (a) [/hɛ/ + [/pap/ + /kɪrit/]] → [hɛ.p'ap.kɪ.rɪt], * [hɛ.p'ap.k'i.rɪt]
 (b) [[/hɛ/ + /ko/] + /kɪrit/] → [hɛ.p'ap.kɪ.rɪt], * [hɛ.p'ap.k'i.rɪt]

Strength is on the edge

- Each **edge** of nouns may have floating feature [cg]

- Floating Feature I

[cg]^x/ABC/[cg]^y

- Floating Feature II

[cg]^x
/ABC/

- Evidence comes from the different pattern of tensification under order reversal

(15)

- (a) /kim/[cg]^{0.4} + [cg]^{0.6}/karu/ → [kim.k'a.ru], *[kim.ka.ru]
 (b) /karu/[cg]^{0.2} + [cg]^{0.2}/kim/ → [ka.ru.kim], *[ka.ru.k'im]

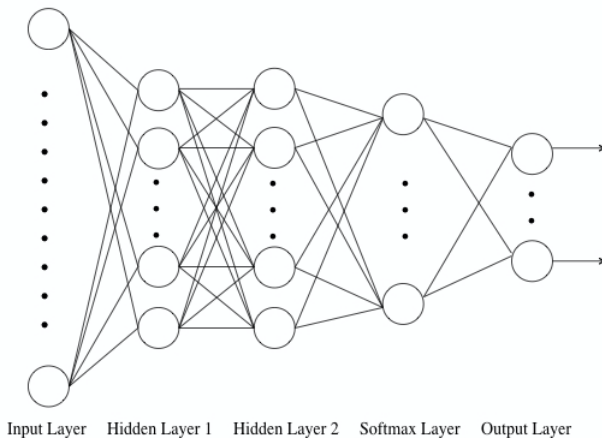
Why Gradience?

Not only do words that occur as **the second conjunct** of a compound exhibit gradient preferences for [cg], but **the first conjunct** in the compound also arguably exhibits the same kind of **gradient preference for triggering tensing** in the word that follows it.

Learnability

The error-driven learning algorithm

(16) An Architecture of Convolutional Neural Network



The error-driven learning algorithm

Step 1 : Initialization

- 1 A learning algorithm was trained through Convolutional Neural Network (Mikolov et al. 2013)
 - It consists of 2 hidden and 1 softmax layers
- 2 Activation levels for [cg] of the W^A s and W^B s were initialized at 0.5
- 3 Constraints MAX and IDENT were initialized with unit values
- 4 UNIFORMITY and LINEARITY have fixed values
- 5 The threshold levels for the sum values of [cg] for compounds were set at 0.7

The error-driven learning algorithm

Step 2 : Iteration

- 1 The compounds $[W^A + W^B]$ are **evaluated** on each iteration to check whether each gross effect of CT is correctly derived ;
 - will get a **reward** +10 if the correct pattern is derived,
 - will get a **penalty** -5 if the wrong pattern is derived

- 2 When two coalescing activations [cg] require **adjusting**,
 - It randomly refills the both values of [cg] by either decrementing or incrementing them (a stepsize of 0.05)
 - MAX and IDENT adjust their weights slightly adjusted through a simulated-annealing process (De Vicente et al. 2003)¹

Step 3 : Convergence

- After 16533 iterations (i.e., when the algorithm can predict all the training set data of CT correctly) the training of this learning was converged.

1. with a decaying temperature T and random Gaussian noise N with $m = 0$ and $s.d. = 0.05$

Results

Results	
Average of iterations	32
Final Value of MAX	1.121
Final Value of IDENT	0.69
The number of activation levels for W^A	5
The number of activation levels for W^B	5

Conclusion

Conclusion

- 1 This **GSR analysis** can predict all the patterns of exceptional non-undergoer of Compound Tensing successfully without any redundancy rules
- 2 The intrinsic property of GSR enables the elements to bear a scalar strength and to **capture the lexical exception of alternation** in the same context
- 3 Although the distinction is not visible on the surface, there are reasons to believe that obstruents in Korean has diverse patterns of different underlying structures with a **gradiently active feature [cg]**
- 4 The learning algorithm also supports that this scalar grammar is **learnable**

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